

# Lecture 17: Natural Semantics

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## PCF Semantics w/Environments

- Substitution slow & space consuming
- Environment allows to evaluate once.
- Meaning now separate set of values -- not just rewriting
- Meaning of function is closure, which carries around its environment of definition.

## The Problem

- Program:
  - $y = 4$
  - $f\ x = x + y$
  - $g\ (h) = \text{let } y = 5 \text{ in } (h\ 2) + y$
  - $g(f)$
- When evaluate  $(h\ 2)$ , the needed  $y$  is out of scope!

## Values of Answers

- Key difference w/ new interpreter
  - Update environment, not rewrite term!
- Mutually recursive type definitions:

```
data Value = NUM Int | BOOL Bool | SUCC | PRED |
           ISZERO | CLOSURE (String, Term, Env) |
           THUNK (Term, Env) | ERROR (String, Value)
type Env = [(String, Value)]
```

# PCF Syntax & Semantics

env:: string -> value

(0) (id, env) ⇒ env(id)

(1) (n, env) ⇒ n *for n an integer.*

(2) (true, env) ⇒ true, (false, e) ⇒ false

(3) (error, env) ⇒ error

(4) (succ, env) ⇒ succ, *similarly for other initial functions*

$$(5) \frac{(b, env) \Rightarrow true \quad (e1, env) \Rightarrow v}{(if\ b\ then\ e1\ else\ e2, env) \Rightarrow v}$$

# More PCF Semantics

$$(6) \frac{(b, env) \Rightarrow false \quad (e2, env) \Rightarrow v}{(if\ b\ then\ e1\ else\ e2, env) \Rightarrow v}$$

$$(7) \frac{(e1, env) \Rightarrow succ \quad (e2, env) \Rightarrow n}{((e1\ e2), env) \Rightarrow (n+1)}$$

(8) ...

(9) ...

# Revised PCF Semantics

(10) ((fn x => e), env) ⇒ <fn x => e, env>

(e1, env) ⇒ <fn x => e3, env'>    (e2, env) ⇒ v1  
 (e3, env'[v1/x]) ⇒ v

$$(11) \frac{}{((e1\ e2), env) \Rightarrow v}$$

(12) (e, env[(rec x => e)/x]) ⇒ v  
 -----  
 ((rec x => e), env) ⇒ v

# Solving the Problem

- Program:

- y = 4
- f x = x + y
- g (h) = let y = 5 in (h 2) + y
- g(f)

- f *evaluates to* <fn x => x+y, [y->4]>

- g(f) *partially evaluates to* (h 2) + y *in environment*  
*where* env = [y->5, h-> <fn x => x+y, [y->4]>]

## Adding State For Assignment

$$\frac{(e_1, ev, s) \Rightarrow (m, s') \quad (e_2, ev, s') \Rightarrow (n, s'')}{(e_1 + e_2, ev, s) \Rightarrow (m+n, s')}$$

$$\frac{(M, ev, s) \Rightarrow (v, s')}{(X := M, ev, s) \Rightarrow (v, s'[v / ev(X)])}$$

$$(fn\ x \Rightarrow M, ev, s) \Rightarrow (< fn\ x \Rightarrow M, ev >, s)$$

$$\frac{(f, ev, s) \Rightarrow (< fn\ x \Rightarrow M, ev >, s'), \quad (N, ev, s') \Rightarrow (v, s''), \quad (M, ev'[v/X], s') \Rightarrow (v', s'')}{(f(N), ev, s) \Rightarrow (v', s'')}$$

## Summary of Operational Semantics

- Meaning of program is sequence of states go through during execution
- Useful for compiler writers, complexity analysis
- Ideal is abstract machine that is simple enough that it is impossible to misunderstand operation.
- Should be easy to map to any computer.

## Axiomatic Semantics

- No model of computation.
- Specification of meaning via pre- and post-conditions:
  - {P} stats {Q}
  - If P is true before executing stats and computation halts, then Q will be true at end.

## Axiomatic Rules

- Assignment axiom:
  - {P [expression / id]} id := expression {P}
  - Ex: {a+47 > 0} x := a+47 {x > 0}
  - {x > 1} x := x - 1 {x > 0}
- While rule:
  - If {P & B} stats {P}, then  
 {P} while B do stats {P & not B}
  - P is *invariant* of loop.

## Axiomatic Rules

- Composition rule:
  - If  $\{P\} s_1 \{Q\}$ ,  $\{R\} s_2 \{T\}$ , and  $Q \Rightarrow R$ , then  $\{P\} s_1; s_2 \{T\}$
- Conditional rule:
  - If  $\{P \ \& \ B\} s_1 \{Q\}$ ,  $\{P \ \& \ \text{not } B\} s_2 \{Q\}$ ,  
then  $\{P\} \text{if } B \text{ then } s_1 \text{ else } s_2 \{Q\}$
- Consequence rule:
  - If  $P \Rightarrow Q$ ,  $R \Rightarrow T$ , and  $\{Q\} s \{R\}$ , then  $\{P\} s \{T\}$

## Correctness using Axioms & Rules

- Due to Bob Floyd & Tony Hoare
- Prove  $\{\text{precondition}\} \text{Prog} \{\text{postcondition}\}$
- Usually work backwards from postcondition.

```
{Pre: exponent0 >= 0}
base <- base0
exponent <- exponent0
ans <- 1
while exponent > 0 do
{assert: ans * (base ** exponent) = base0 ** exponent0}
{
    & exponent >= 0}
    if odd(exponent) then
        ans<- ans*base
        exponent <- exponent - 1
    else
        base <- base * base
        exponent <- exponent div 2
    end if
end while
{Post: exponent = 0 & ans = base0 ** exponent0}
```