

Lecture 24: Halting Problem

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Diagonalization

- Show the number of functions from \mathbb{N} to \mathbb{N} is uncountable.
 - Proof by contradiction
 - Suppose countable. List them all: f_0, f_1, \dots
 - Claim list is missing at least one. Define $g(n) = f_n(n) + 1$.
 - g is not included in f_i because for all n , $g(n) \neq f_n(n)$ so $g \neq f_n$
 - Can be no listing of all functions from \mathbb{N} to \mathbb{N} .
 - Thus $\mathbb{N} \rightarrow \mathbb{N}$ is uncountable.

Diagonalization Redux

- Theorem: There are effectively computable total functions that are not included in the primitive recursive functions.
 - The set of primitive recursive functions is can be “effectively enumerated”, so list them: f_0, f_1, \dots
 - You showed all total.
 - Define d s.t. $d(n) = f_n(n) + 1$. Function d is total and not in list — therefore not primitive recursive.
 - *What did we need to know about primitive recursive functions for proof to work?*

Undecidability

Computations on Machines

- Look at the following languages:
 - $E_{DFA} = \{\langle M \rangle \mid M \text{ is a DFA and } L(M) = \emptyset\}$
 - $EQ_{DFA} = \{\langle M, N \rangle \mid M \text{ and } N \text{ are DFAs and } L(M) = L(N)\}$
 - $A_{DFA} = \{\langle M, w \rangle \mid M \text{ is a DFA and } w \in L(M)\}$
- Showed before (informally) that these are decidable.
- Can do the same for PDA's.
 - First & third decidable, second is not!

What about TM's

- We'll see corresponding sets not decidable
 - and perhaps even not semi-decidable.
 - *Recall L semi-decidable means may not halt if answer no*
- Two more sets:
 - $H_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM which halts on input } w\}$
 - $TOTAL_{TM} = \{\langle M \rangle \mid M \text{ halts on all inputs}\}$
 - See later that neither is decidable.

Decision Problems with TM's

- Look at following sets:
 - $A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } w \in L(M)\}$
 - $H_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM which halts on input } w\}$
 - $TOTAL_{TM} = \{\langle M \rangle \mid M \text{ halts on all inputs}\}$
 - $E_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$
- Halting easy for most algorithms, but:
 - $times_3(x: \text{positive integer}) =$
while $x \neq 1$ do:
 If x is even then $x = x/2$.
 else $x = 3x + 1$

Universe of discourse

- Easy to determine if have encoding of a TM, so we'll ignore it when take complements, etc., so our universe of discourse will only consider those with valid TM encodings.

Semi-decidable

- A_{TM} and H_{TM} both semi-decidable using UTM.
- Show H_{TM} not decidable.
 - Let E be candidate TM to decide H_{TM} . Show can't be right.
 - From E , create TM D s.t. if input w , create $\langle w,w \rangle$ and simulate E on it (*i.e., it treats input as if of form $\langle M,w \rangle$*)
 - If E rejects then make D accept and if E accepts, D loops forever
 - Now run D on $\langle D \rangle$ *Diagonal Argument*
 - If $\langle D,D \rangle \in H_{TM}$ then D halts on D , so E rejected $\langle D,D \rangle$ & $\langle D,D \rangle \notin L(E)$
 - If $\langle D,D \rangle \notin H_{TM}$ then D not halt on D , so E accepted, $\langle D,D \rangle \in L(E)$
 - Either way, $L(E) \neq H_{TM}$ with $\langle D,D \rangle$ in one but not other.

Decidable and Semi-decidable

- *Earlier:* If L and its complement are both semi-decidable then it is decidable.
 - Corollary: Complement of H_{TM} is not semi-decidable
- Note, if H_{TM} were decidable then every SD language would be decidable.
- Lots of other languages not decidable:
 - $L_o = \{ \langle M,w \rangle \mid M \text{ on } w \text{ eventually writes a } o \}$
 - ...

Undecidability

- $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$
 - Suppose decidable. For each pair $\langle M,w \rangle$ define machine M'_w that throws away its input and simulates M on w and if it halts then accept.
 - Then $M'_w \in E_{TM}$ iff $\text{not}(\langle M,w \rangle \in H_{TM})$.
 - Thus could use solution to E_{TM} to solve H_{TM} .
 - Therefore E_{TM} is not decidable.
- General procedure to show undecidability
 - Reduce halting problem to solving other problem.
 - Proofs are by contradiction

Undecidability

- $TOTAL_{TM} = \{ \langle M \rangle \mid M \text{ is TM that halts on all inputs} \}$
 - Suppose decidable. Use to solve halting!
 - For each pair $\langle M,w \rangle$ define machine M'_w that throws away its input and simulates M on w , if it halts.
 - Then $M'_w \in TOTAL_{TM}$ iff $\langle M,w \rangle \in H_{TM}$.
 - Thus could use solution to $TOTAL_{TM}$ to solve H_{TM} .
 - Therefore $TOTAL_{TM}$ is not decidable.

More Undecidability

- $L_\epsilon = \{ \langle M \rangle \mid M \text{ halts on empty tape} \}$
 - Given M , w , create machine M_w that writes w and then simulates M on that w .
 - Claim $\langle M_w \rangle \in L_\epsilon$ iff $\langle M, w \rangle \in H_{TM}$.
 - Therefore L_ϵ not decidable

Another Example

- $L_{\epsilon o} = \{ \langle M \rangle \mid M \text{ on } \epsilon \text{ eventually writes a } o \}$
 - Given M , rewrite to replace any occurrences of o in transitions by new character ϕ .
 - If o in input alphabet replace all occurrences of o on input by ϕ .
 - Modify again so that if it ever goes into a halt state then writes o on tape. Call this machine M'
 - One last modification: Erase input, write w , then run M' on w . Call new machine M'_w .
 - Claim $\langle M'_w \rangle \in L_{\epsilon o}$ iff $\langle M, w \rangle \in H_{TM}$.
 - Therefore can use $L_{\epsilon o}$ to solve halting problem, so not decidable.

Back to Hilbert

- Entscheidungsproblem posed by David Hilbert in 1928.
 - Find an algorithm that will take as input a description of a formal language and a mathematical statement in the language and produce as output either "True" or "False" according to whether the statement is true or false.
- If find an algorithm, then no problem, but ...
 - how do you show there is no such algorithm?
 - Turing' solution used undecidability of halting

Entscheidungsproblem

- Turing's solution:
 - First showed universal TM
 - Essentially showed undecidability of halting problem
 - Actually "circle-free" TM's
 - Showed undecidability of determining if ever write o on empty input
 - Can encode TM as a number (we did as string).
 - Showed given TM M , can write a logical formula ψ of predicate logic such that ψ is true iff M writes o on ϵ
 - Contradiction! Therefore not decidable

Entscheidungsproblem

- Even more on Turing's solution last step:
 - Given TM M , can write a logical formula ψ of predicate logic such that ψ is true iff M writes \circ on ε input.
 - Let ψ be statement: In some configuration of M starting with ε , some square s contains the symbol \circ
 - Let ϕ_1, \dots, ϕ_n be axioms for M .
 - Then formula is $\phi_1 \wedge \dots \wedge \phi_n \rightarrow \psi$
 - Thus M writes \circ on input ε iff $\phi_1 \wedge \dots \wedge \phi_n \rightarrow \psi$ is provable in predicate calculus.
 - Thus if can decide provability then can decide if M writes \circ on ε
 - Therefore provability undecidable!