

# Lecture 12: Closure operations and algorithms for CFLs

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## Closure properties of CFLs

- Already shown closed under
  - concatenation, union, Kleene\*, reversal, substitution
- Also closed under intersection with regular set.
  - Product machine
- Not closed under intersection, difference, or complement.
  - Why doesn't product work for intersection?

## Counter Example

- Let
  - $L_1 = \{a^m b^n c^m \mid m, n \geq 0\}$ , clearly cfl
  - $L_2 = \{a^m b^n c^n \mid m, n \geq 0\}$ , clearly cfl
  - $L_1 \cap L_2 = \{a^n b^n c^n \mid n \geq 0\}$  is not cfl *CFLs not closed under intersection*
- Suppose cfl's closed under complement
  - I.e., if  $L$  is cfl then  $L^c = \Sigma^* - L$  is cfl.
  - Then  $L_1 \cap L_2 = (L_1^c \cup L_2^c)^c$  would be cfl. Contradiction!  
*CFLs not closed under complement*

- CFLs not closed under complement, difference, or intersection.
  - If closed under difference then would be closed under complement!
- Closure can be used to prove languages not cfl.
  - Suppose  $L = \{w \mid \#_a(w) = \#_b(w) = \#_c(w)\}$  is cfl
  - Then  $L \cap a^* b^* c^* = \{a^n b^n c^n \mid n \geq 0\}$ , which is not cfl
  - Because cfl's closed under  $\cap$  w/ regular set,  $L$  not cfl

## Algorithms for CFL's

- Given a cfg,  $G$ , and  $w$  in  $\Sigma^*$ , is  $w \in L(G)$ ?
- Given a cfg,  $G$ , is  $L(G) = \emptyset$ ?
- Given a cfg,  $G$ , is  $L(G)$  infinite?
- All are decidable!

## Is $w \in L(G)$ ?

- Special case if  $w = \epsilon$ , *see next slide*.
- Convert  $G$  to CNF  $G'$
- If  $|w| = n$  look at all derivations of length  $\leq 2|w| - 1$ . If not there, then not in language.
- How efficient? Let  $|w| = n$ 
  - $|R|^{2n-1}$  derivations, each of length  $2n-1$ . Thus  $O(n 2^n)$
  - With work (see later), can find  $O(n^3)$  algorithm.
    - Want  $O(n)$ !!

## Is $\epsilon$ in $L(G)$ ?

- Say  $A$  is nullable iff  $A \Rightarrow^* \epsilon$ .
- Lemma:  $A$  is nullable iff
  - $A \rightarrow \epsilon$  or
  - $A \rightarrow B_1 \dots B_n$  and all  $B_i$  are nullable
- To see if  $\epsilon$  in  $L(G)$ , see if  $S$  is nullable.

## Algorithms for CFL's

- Given a cfg,  $G$ , and  $w$  in  $\Sigma^*$ , is  $w \in L(G)$ ? ✓
- Given a cfg,  $G$ , is  $L(G) = \emptyset$ ?
- Given a cfg,  $G$ , is  $L(G)$  infinite?
- All are decidable!

## Thinning cfg

- Say non-terminal  $V$  is non-productive if there is no string  $w \in \Sigma^*$  s.t.  $V \Rightarrow^* w$ .
- Algorithm: Start with  $G' = G$ 
  - Mark every terminal in  $G'$  as productive
  - Until entire pass through  $R$  w/no marking
    - For each  $X \rightarrow \alpha$  in  $R$ : If every symbol in  $\alpha$  marked as productive, but  $X$  not yet marked productive, then mark it as productive
  - Remove from  $V_{G'}$  all non-productive symbols
  - Remove from  $R_{G'}$  all rules w/non-productive symbols on left or right side.

## Algorithm for Emptiness

- Run algorithm to mark non-productive symbols. If  $S$  non-productive then  $L(G) = \emptyset$ .

## Algorithm for Finiteness

- Let  $G$  be cfg. Use proof of pumping lemma.
  - Let  $G'$  be equivalent grammar in CNF.
  - Let  $n = \# \text{non-terminals}$ . Let  $k = 2^{n+1}$ .
  - If there is a  $w \in L(G)$  s.t.  $|w| > k$  then can pump, so  $\infty$
  - Claim if  $L(G) \infty$  then exist  $w \in L(G)$  s.t.  $k < |w| \leq 2k$ .
    - Spose fails. Then  $\infty$ , so let  $w' \in L(G)$  be shortest s.t.  $|w'| > 2k$ .
    - Pump with  $i = 0$  to get shorter. But  $|vxy| < k$  & thus  $|vyl| < k$ .
    - Thus  $uxz \in L(G)$ ,  $|uxz| < |w'|$ , but  $|uxz| > k$ . Contradiction to assumption  $w'$  shortest!
    - Thus  $L(G)$  is  $\infty$  iff exists  $w \in L(G)$  s.t.  $k < |w| \leq 2k$

## Parsing CFL's

- Created non-deterministic PDA.
  - Backtracking computation hard to get right.
  - Having to backtrack on input painful
- More efficient dynamic programming
- Later see deterministic language better

# Compiler Overview

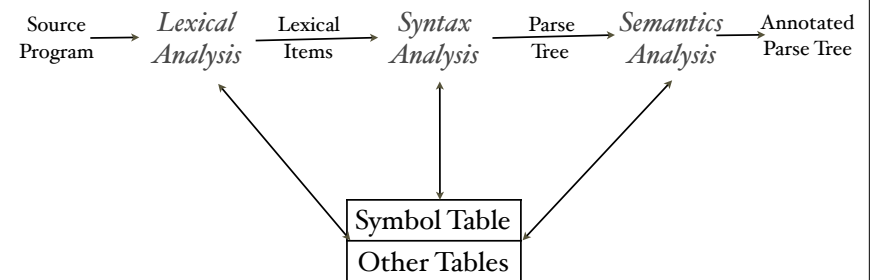
# Compiler Structure

- Analysis:
  - Break into lexical items, build parse tree, annotate parse tree (e.g. via type checking)
- Synthesis:
  - generate simple intermediate code, optimization (look at instructions in context), code generation, linking and loading.

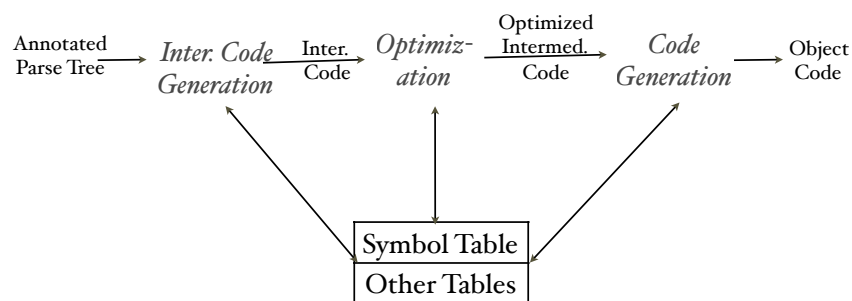
# Symbol Table

- Symbol table:
  - Contains all id names,
  - kind of id (vble, array name, proc name, formal parameter),
  - type of value,
  - where visible, etc.

# Analysis



## Synthesis



## Focus

- Assume lexical analysis done
  - Described by regular expressions
  - Recognized by DFSM
  - Resulting input is stream of tokens
- Focus on building parse trees

## Parsing CFL's

- Created non-deterministic PDA.
  - Backtracking computation hard to get right.
  - Having to backtrack on input painful
- More efficient via dynamic programming
- Deterministic language better as can get linear recognizer.
  - LR( $t$ ) and LL( $t$ ) only require looking at next token of input.

## CYK (for non-deterministic)

- Convert cfg  $G$  to  $G'$  in Chomsky Normal Form
- Let  $w = w_1...w_n$  be string to be parsed. Define  $\alpha(i,j)$  to be  $\{B \mid B \Rightarrow^* w_i...w_j\}$ 
  - So  $w \in L(G)$  iff  $S \in \alpha(1,n)$
  - Key idea: What non-terminals give substrings?
- Recursive definition:
  - $\alpha(i,i) = \{C \mid C \rightarrow w_i\}$
  - $\alpha(i,k) = \{C \mid C \rightarrow AB \ \& \ A \in \alpha(i,j) \wedge B \in \alpha(j+1,k) \text{ for some } j\}$

## Fill in Table

$\alpha(1,1)$	$\alpha(1,2)$	$\alpha(1,3)$	...	$\alpha(1,n-1)$	$\alpha(1,n)$
	$\alpha(2,2)$	$\alpha(2,3)$	...	$\alpha(2,n-1)$	$\alpha(2,n)$
		$\alpha(3,3)$	...	$\alpha(3,n-1)$	$\alpha(3,n)$
			...	...	...
				$\alpha(n-1,n-1)$	$\alpha(n-1,n)$
					$\alpha(n,n)$

Each entry computed from entries in same row & column:

$\alpha(1,3)$  from  $\alpha(1,1)$  &  $\alpha(2,3)$ ,  $\alpha(1,2)$  &  $\alpha(3,3)$ , etc.

Slide across row and down column.

Why  $O(n^3)$ ?

## Using CYK

- Let  $G$  be grammar for balanced parens in CNF:
  - $S \rightarrow SS, S \rightarrow LT, S \rightarrow LR$
  - $T \rightarrow SR$
  - $L \rightarrow (, R \rightarrow )$
- Parse  $()(())$
- Generally most entries are empty
- What if two entries in same slot?
  - Better to store rule rather than just left-hand side.

## Predictive Parsing

- Want to be able to parse languages without backtracking (even done efficiently).
- Talked earlier about deterministic pda's.
  - No choice as to what to do at each step.
- Some languages seem deterministic, but don't quite work with that definition.
  - Book uses  $L = a^* \cup \{a^n b^n \mid n \geq 0\}$
  - Empty stack when at end if no b's.

## Deterministic CFL

- $L$  is deterministic context-free iff  $L\$$  can be accepted by a deterministic pda.
  - Easy to show  $L$  deterministic cfl  $\Rightarrow L$  cfl
    - Guess when about to read \$, then read no more input
- Deterministic cfl's closed under complement.
  - Reversing accept easy, but also have to worry about stack not empty, etc.
  - More complex -- see text.