

# Lecture 19: Balanced Binary Trees

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## Keeping Trees Trimmed

- Lots of operations are  $O(h)$
- But our guarantee is only:  $\log n \leq h \leq n$
- Can we do better?

## Keeping Trees Trimmed

- We can rotate a left child upwards:
  1. Give our right subtree to our parent as a left subtree
  2. Set our parent as our own right subtree
  3. Take our parent's old position
- All of our left descendants move up
- All of our parent's right descendants move down
- Our right descendants don't change height

## Keeping Trees Trimmed

- The symmetric operation can rotate to the right
- A sequence of rotations can move a node to the root
- Bystander nodes end up more-balanced

# Splay Trees

- Every time we call `add`, `contains`, or `remove`, rotate up to the root
  - Side-effects of rotation give average-case  $O(\log n)$  tree height
  - Worst case is still  $O(n)$
  - But all  $O(h)$  operations are now average-case  $O(\log n)$

# Demo

## Splay Tree Demo

# Sketch

```
void splay(BinaryTree<E> target) {  
    while (this.root != target) {  
        this.rotate(target.parent, target);  
    }  
}
```

# Fixing Sticks

- Simple “rotate-up” strategy doesn’t fix sticks
- Splay operations:
  - Zig
  - Zig-zig
  - Zig-zag

## Splay Operations

- Zig: Rotate *self* once L/R  
(when you have no grandparent)
- Zig-zig: Rotate *parent*, then *self*  
(when you're L/L or R/R)
- Zig-zag: Rotate *self*, then *self*  
(when you're L/R or R/L)

## Height Changes

- Zig:
  - One of our subtrees  $\rightarrow -1$
  - Our other subtree  $\rightarrow$  no change
  - Parent's other subtree  $\rightarrow +1$

## Height Changes

- Zig-zig:
  - Grandparent's other  $\rightarrow +1$
  - Parent's other  $\rightarrow$  no change
  - Both of ours  $\rightarrow -1$
  - ...plus...
  - Grandparent's other  $\rightarrow +1$
  - Parent's other  $\rightarrow +1$
  - One of ours  $\rightarrow -1$
  - Our other  $\rightarrow$  no change

## Height Changes

- Zig-zig:
  - Grandparent's other  $\rightarrow +2$
  - Parent's other  $\rightarrow +1$
  - One of ours  $\rightarrow -2$
  - Our other  $\rightarrow -1$

## Height Changes

- Zig-zag:
  - Grandparent's other → +1
  - Parent's other → +0
  - Both of ours → -1

## Changes for Sticks

- Our children: lots
- Parent/grandparent other children: zero

Average change: shorter

## Changes for Balanced Nodes

- Our children: lots
- Parent/grandparent other children: same

Average change: none