

Quicksort and Randomized Algs

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cs302
Spring 2013



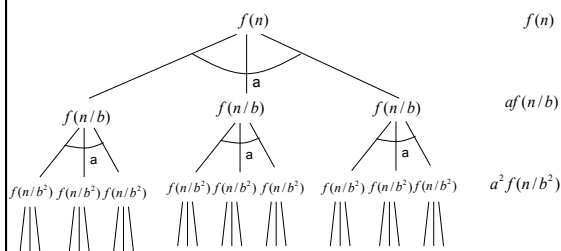
Administrative

- Homework 2 grading
- Homework 3?
- Homework 4 out today



Why does the master method work?

$$T(n) = aT(n/b) + f(n)$$



What is the depth of the tree?

At each level, the size of the data is divided by b

$$\frac{n}{b^d} = 1$$

$$\log\left(\frac{n}{b^d}\right) = 0$$

$$\log n - \log b^d = 0$$

$$d \log b = \log n$$

$$d = \log_b n$$



How many leaves?

How many leaves are there in a complete a -ary tree of depth d ?

$$a^d = a^{\log_b n}$$

$$= n^{\log_b a}$$



Total cost

if $f(n) = O(n^{\log_b a - \epsilon})$ for $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$
 if $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \log n)$
 if $f(n) = \Omega(n^{\log_b a + \epsilon})$ for $\epsilon > 0$ and $af(n/b) \leq cf(n)$ for $c < 1$
 then $T(n) = \Theta(f(n))$



$$T(n) = cf(n) + af(n/b) + a^2 f(n/b^2) + \dots + a^{d-1} f(n/b^{d-1}) + \Theta(n^{\log_b a^3})$$

$$= \sum_{i=0}^{\log_b n - 1} a^i f(n/b^i) + \Theta(n^{\log_b a})$$

Case 1: cost is dominated by the cost of the leaves

$$= \sum_{i=0}^{\log_b n - 1} a^i f(n/b^i) < \Theta(n^{\log_b a})$$

Total cost

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$$= \sum_{i=0}^{\log_b n - 1} a^i f(n/b^i) + \Theta(n^{\log_b a})$$

Case 2: cost is evenly distributed across tree

As we saw with mergesort, $\log n$ levels to the tree and at each level $f(n)$ work

Total cost

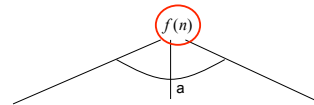
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$$= \sum_{i=0}^{\log_b n - 1} a^i f(n/b^i) + \Theta(n^{\log_b a})$$

Case 3: cost is dominated by the cost of the root



Other forms of the master method

$$T(n) = aT(n/b) + O(n^d)$$

$$T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$



```

PARTITION(A, p, r)
1  i ← p - 1
2  for j ← p to r - 1
3      if A[j] ≤ A[r]
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6  swap A[i + 1] and A[r]
7  return i + 1
    
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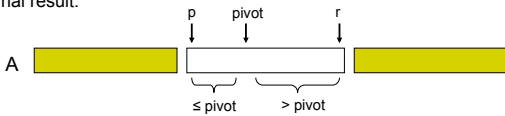
What does it do?

A[r] is called the **pivot**

Partitions the elements A[p...r-1] in to two sets, those ≤ pivot and those > pivot

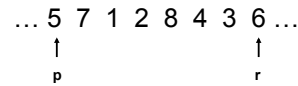
Operates in place

Final result:



```

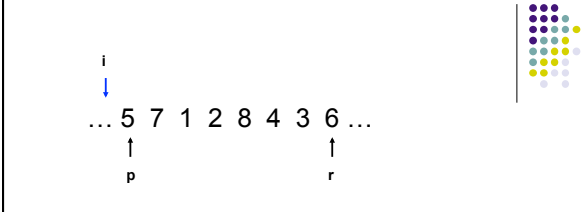
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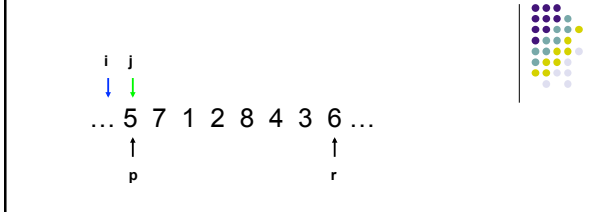




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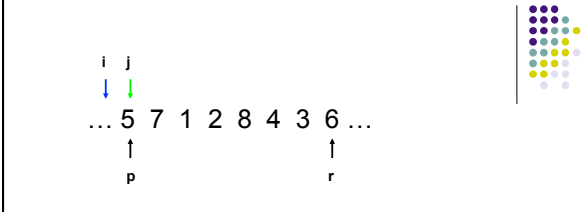
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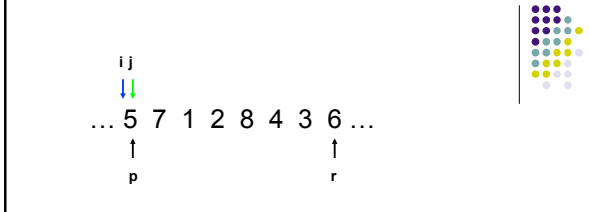
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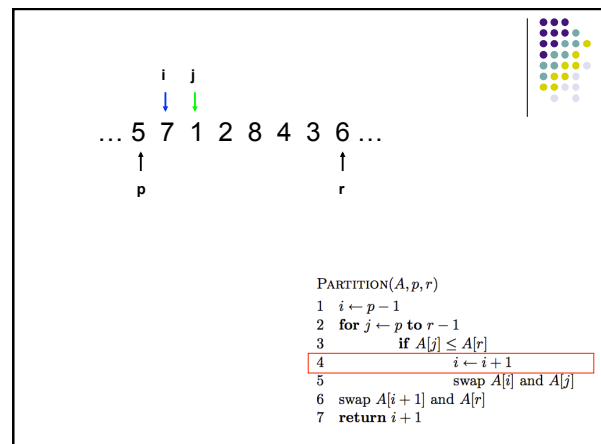
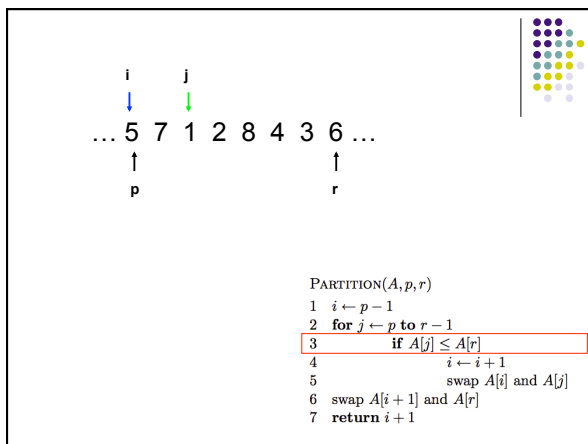
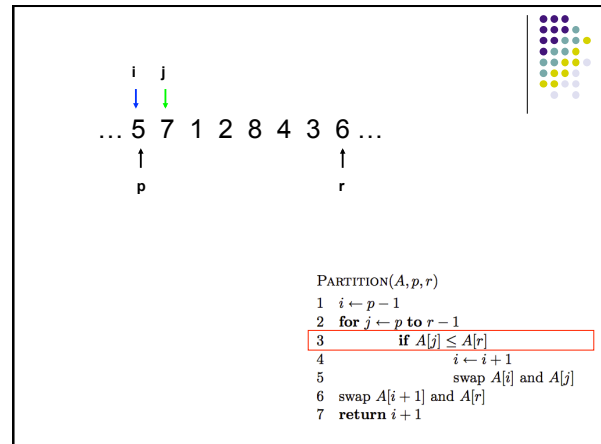
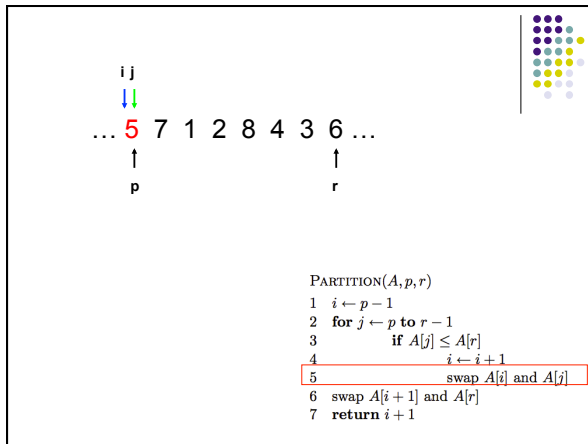
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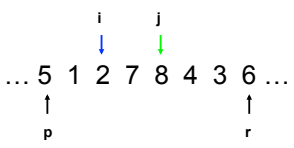
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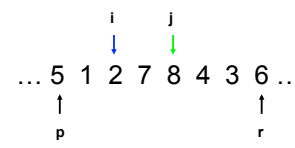
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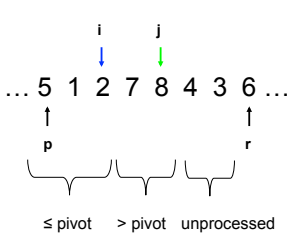
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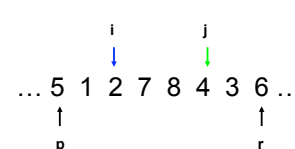
What's happening?



```

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```


Is Partition correct?

Partitions the elements $A[p\dots r-1]$ in to two sets, those \leq pivot and those $>$ pivot?

Loop Invariant:

```

PARTITION(A, p, r)
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```

Is Partition correct?

Partitions the elements $A[p\dots r-1]$ in to two sets, those \leq pivot and those $>$ pivot?

Loop Invariant:

$A[p\dots i] \leq A[r]$ and $A[i+1\dots j-1] > A[r]$

proof?

```

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```

Proof by induction

Loop Invariant: $A[p\dots i] \leq A[r]$ and $A[i+1\dots j-1] > A[r]$

Base case: $A[p\dots i]$ and $A[i+1\dots j-1]$ are empty

Assume it holds for $j-1$, two cases:

- $A[j] > A[r]$
- $A[p\dots i]$ remains unchanged
- $A[i+1\dots j]$ contains one additional element, $A[j]$ which is $> A[r]$

```

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Proof by induction

Loop Invariant: $A[p\dots i] \leq A[r]$ and $A[i+1\dots j-1] > A[r]$

2nd case:

- $A[j] \leq A[r]$
- i is incremented
- $A[i]$ swapped with $A[j]$ – $A[p\dots i]$ contains one additional element which is $\leq A[r]$
- $A[i+1\dots j-1]$ will contain the same elements, except the last element will be the old first element

```

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Partition running time?

$\Theta(n)$

```

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Quicksort

```

QUICKSORT(A, p, r)
1  if p < r
2      q ← PARTITION(A, p, r)
3      QUICKSORT(A, p, q - 1)
4      QUICKSORT(A, q + 1, r)

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8 5 1 3 6 2 7 4

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1 2 3 4 5 8 7 6

What happens here?

```

QUICKSORT(A, p, r)
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```




Some observations

Divide and conquer: different than MergeSort – do the work *before* recursing


How many times is/can an element selected for as a pivot?

What happens after an element is selected as a pivot?

1 3 2 4 6 8 7 5



Is Quicksort correct?



Is Quicksort correct?

Assuming Partition is correct

Proof by induction

- Base case: Quicksort works on a list of 1 element
- Inductive case:
 - Assume Quicksort sorts arrays for arrays of smaller < n elements, show that it works to sort n elements
 - If partition works correctly then we have:
 - and, by our inductive assumption, we have:

A

sorted

pivot

|

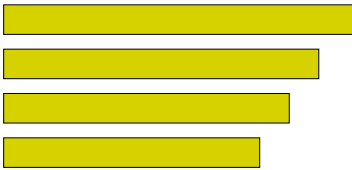
sorted

≤ pivot
> pivot

Running time of Quicksort?

Worst case?

Each call to Partition splits the array into an empty array and n-1 array



Quicksort: Worse case running time

$$T(n) = T(n-1) + \Theta(n)$$

Which is? $\Theta(n^2)$

When does this happen?

- sorted
- reverse sorted
- near sorted/reverse sorted

Quicksort best case?

Each call to Partition splits the array into two equal parts

$$T(n) = 2T(n/2) + \Theta(n)$$

$O(n \log n)$

When does this happen?

- random data?

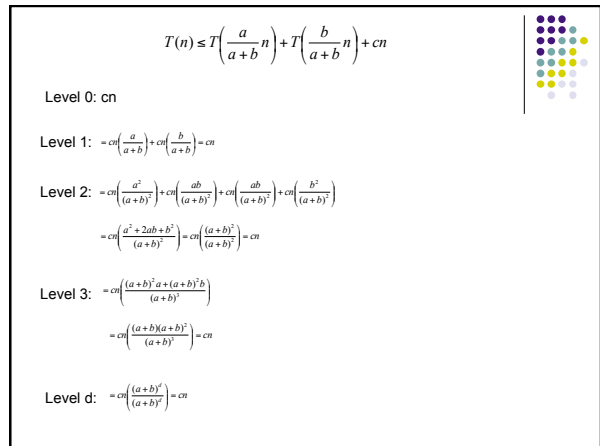
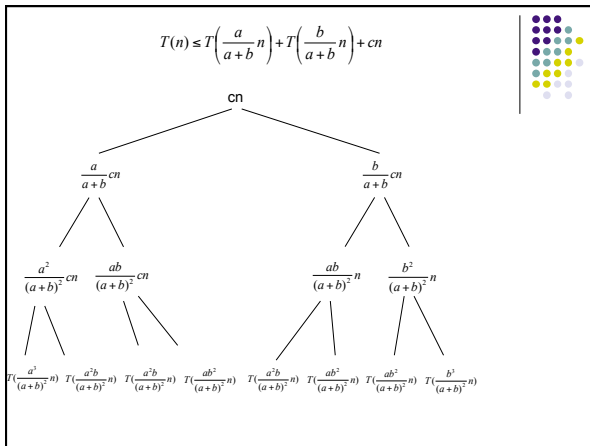
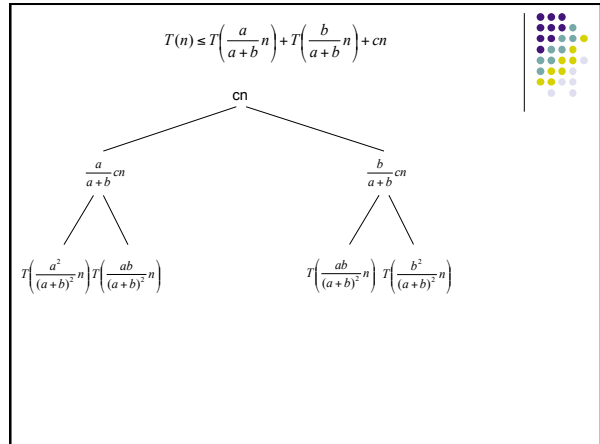
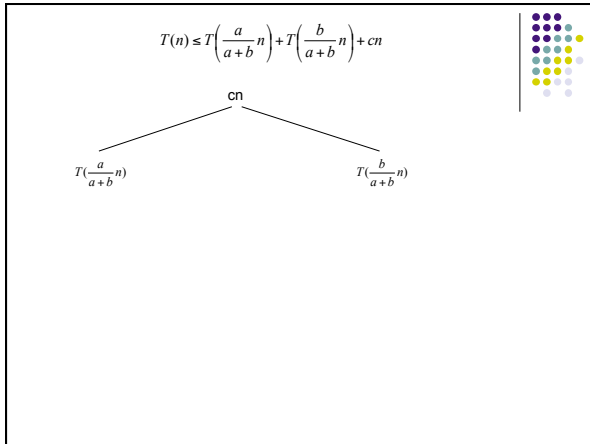
Quicksort Average case?

How close to “even” splits do they need to be to maintain an $O(n \log n)$ running time?

Say the Partition procedure always splits the array into some constant ratio b-to-a, e.g. 9-to-1

What is the recurrence?

$$T(n) \leq T\left(\frac{a}{a+b}n\right) + T\left(\frac{b}{a+b}n\right) + cn$$

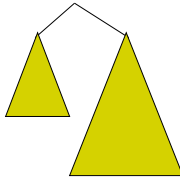


What is the depth of the tree?

Leaves will have different heights

Want to pick the deepest leaf

Assume $a < b$



What is the depth of the tree?

Assume $a < b$

$$\left(\frac{b}{a+b}\right)^d n = 1$$

...

$$d = \log_{\frac{a+b}{b}} n$$

Cost of the tree

Cost of each level $\leq cn$

?

Cost of the tree

Cost of each level $\leq cn$

Times the maximum depth

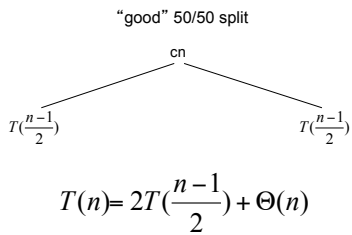
$$O\left(n \log_{\frac{a+b}{b}} n\right)$$

Why not?

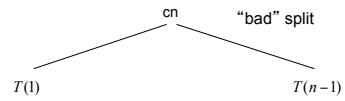
$$\Theta\left(n \log_{\frac{a+b}{b}} n\right)$$

Quicksort average case: take 2

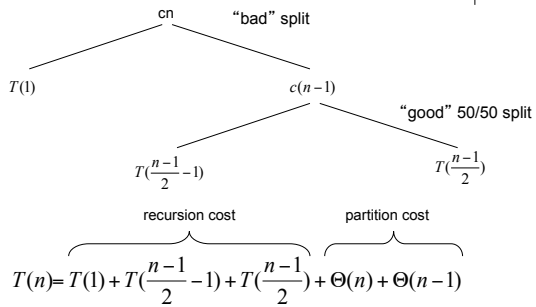
What would happen if half the time Partition produced a "bad" split and the other half "good"?



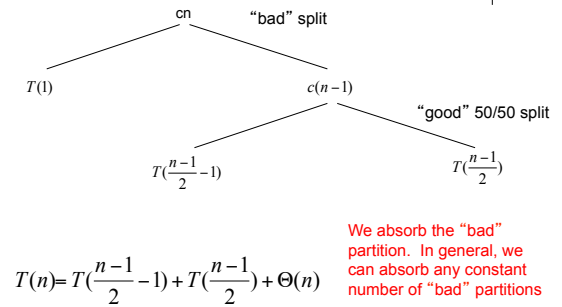
Quicksort average case: take 2



Quicksort average case: take 2



Quicksort average case: take 2



How can we avoid the worst case?

Inject randomness into the data

```

RANDOMIZED-PARTITION( $A, p, r$ )
1   $i \leftarrow \text{RANDOM}(p, r)$ 
2  swap  $A[r]$  and  $A[i]$ 
3  return PARTITION( $A, p, r$ )

```

What is the running time of randomized Quicksort?

Worst case?

$O(n^2)$

Still could get very unlucky and pick "bad" partitions at every step

randomized Quicksort: expected running time

How many calls are made to Partition for an input of size n ?

What is the cost of a call to Partition?

Cost is proportional to the number of iterations of the for loop

```

PARTITION( $A, p, r$ )
1   $i \leftarrow p - 1$ 
2  for  $j \leftarrow p$  to  $r - 1$ 
3      if  $A[j] \leq A[r]$ 
4           $i \leftarrow i + 1$ 
5          swap  $A[i]$  and  $A[j]$ 
6  swap  $A[i + 1]$  and  $A[r]$ 
7  return  $i + 1$ 

```

the total number of comparisons will give us a bound on the running time

Counting the number of comparisons

Let z_i of z_1, z_2, \dots, z_n be the i th smallest element

Let Z_{ij} be the set of elements $Z_{ij} = z_i, z_{i+1}, \dots, z_j$

$A = [3, 9, 7, 2]$

$z_1 = 2$

$z_2 = 3$

$z_3 = 7$

$z_4 = 9$

$Z_{24} =$

Counting the number of comparisons

Let z_i of z_1, z_2, \dots, z_n be the i th smallest element

Let Z_i be the set of elements $Z_i = z_i, z_{i+1}, \dots, z_n$

$$A = [3, 9, 7, 2]$$

$$z_1 = 2$$

$$z_2 = 3$$

$$z_3 = 7$$

$$z_4 = 9$$

$$Z_{24} = [3, 7, 9]$$

Counting comparisons

Let $X_{ij} = I\{z_i \text{ is compared to } z_j\} = \begin{cases} 1 & \text{if } z_i \text{ is compared to } z_j \\ 0 & \text{otherwise} \end{cases}$

(indicator random variable)

- How many times can z_i be compared to z_j ?
- At most once. Why?

Total number of comparisons

$$X = \sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}$$

Counting comparisons: average running time

$$\begin{aligned} E[X] &= E\left[\sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}\right] \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n E[X_{ij}] && \text{expectation of sums is the sum of expectations} \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n P\{z_i \text{ is compared to } z_j\} \end{aligned}$$

remember,

$$X_{ij} = I\{z_i \text{ is compared to } z_j\} = \begin{cases} 1 & \text{if } z_i \text{ is compared to } z_j \\ 0 & \text{otherwise} \end{cases}$$

$P\{z_i \text{ is compared to } z_j\} ?$

- The pivot element separates the set of numbers into two sets (those less than the pivot and those larger). Elements from one set will never be compared to elements of the other set
- If a pivot x is chosen $z_i < x < z_j$ then z_i and z_j how many times will z_i and z_j be compared?
- What is the only time that z_i and z_j will be compared?
- In Z_j , when will z_i and z_j will be compared?

$p\{z_i \text{ is compared to } z_j\} ?$

$p\{z_i \text{ is compared to } z_j\} = p\{z_i \text{ or } z_j \text{ is first pivot chosen from } Z_{ij}\}$

$p(a,b) = p(a)+p(b)$ for independent events

$= p\{z_i \text{ is first pivot chosen from } Z_{ij}\} + p\{z_j \text{ is first pivot chosen from } Z_{ij}\}$

pivot is chosen randomly over $j-i+1$ elements

$$= \frac{1}{j-i+1} + \frac{1}{j-i+1}$$

$$= \frac{2}{j-i+1}$$

$E[X] ?$

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1}$$

$$= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{k+1} \quad \text{Let } k = j-i$$

$$< \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{k}$$

$$= \sum_{i=1}^{n-1} O(\log n) \quad \sum_{k=1}^n 2/k = \ln n + O(1) = O(\log n)$$

$$= O(n \log n) \quad \star$$

Memory usage?

Quicksort only uses $O(1)$ additional memory

How does randomized Quicksort compare to Mergesort?

<pre> QUICKSORT(A, p, r) 1 if p < r 2 q ← PARTITION(A, p, r) 3 QUICKSORT(A, p, q - 1) 4 QUICKSORT(A, q + 1, r) </pre>	<pre> PARTITION(A, p, r) 1 i ← p - 1 2 for j ← p to r - 1 3 if A[j] ≤ A[r] 4 i ← i + 1 5 swap A[i] and A[j] 6 swap A[i + 1] and A[r] 7 return i + 1 </pre>
--	---

Merge-Sort: Another view

```

MERGE-SORT2(A, p, r)
1  if p < r
2    q ← [(p+r)/2]
3    MERGE-SORT2(A, p, q)
4    MERGE-SORT2(A, q + 1, r)
5    MERGE2(A, p, q, r)
                
```

Merge-Sort: Another view

```

MERGE-SORT2(A, p, r)
1  if p < r
2      q ← ⌊(p+r)/2⌋
3      MERGE-SORT2(A, p, q)
4      MERGE-SORT2(A, q + 1, r)
5      MERGE2(A, p, q, r)

```

```

MERGE-SORT(A)
1  if length[A] == 1
2      return A
3  else
4      q ← ⌊length[A]/2⌋
5      create arrays L[1..q] and R[q + 1..length[A]]
6      copy A[1..q] to L
7      copy A[q + 1..length[A]] to R
8      LS ← MERGE-SORT(L)
9      RS ← MERGE-SORT(R)
10     return MERGE(LS, RS)

```

difference?



Merge-Sort: Another view

```

MERGE2(A, p, q, r)
1  n1 ← q - p + 1 ▷ length of the left array
2  n2 ← r - q ▷ length of the right array
3  create arrays L[1..n1 + 1] and R[1..n2 + 1]
4  for i ← 1 to n1
5      L[i] ← A[p + i - 1]
6  for j ← 1 to n2
7      R[j] ← A[q + j]
8  L[n1 + 1] ← ∞
9  R[n2 + 1] ← ∞
10 i ← 1
11 j ← 1
12 for k ← p to r
13     if L[i] ≤ R[j]
14         A[k] ← L[i]
15         i ← i + 1
16     else
17         A[k] ← R[j]
18         j ← j + 1

```



Merge-Sort2

Running time?



Merge-Sort2

Running time?

Same as MergeSort except the cost to divide the arrays is constant, i.e. $D(n) = c$

Still results in:

$$T(n) = \begin{cases} c & \text{if } n \text{ is small} \\ 2T(n/2) + cn & \text{otherwise} \end{cases}$$



Memory?

```

MERGE-SORT2(A, p, r)
1  if p < r
2      q ← [(p+r)/2]
3      MERGE-SORT2(A, p, q)
4      MERGE-SORT2(A, q + 1, r)
5      MERGE2(A, p, q, r)

MERGE-SORT(A)
1  if length[A] == 1
2      return A
3  else
4      q ← [length[A] / 2]
5      create arrays L[1..q] and R[q + 1..length[A]]
6      copy A[1..q] to L
7      copy A[q + 1..length[A]] to R
8      LS ← MERGE-SORT(L)
9      RS ← MERGE-SORT(R)
10     return MERGE(LS, RS)
    
```

Memory?

MergeSort

$$S(n) = \begin{cases} c & \text{if } n \text{ is small} \\ 2S(n/2) + cn & \text{otherwise} \end{cases}$$

```

MERGE-SORT(A)
1  if length[A] == 1
2      return A
3  else
4      q ← [length[A] / 2]
5      create arrays L[1..q] and R[q + 1..length[A]]
6      copy A[1..q] to L
7      copy A[q + 1..length[A]] to R
8      LS ← MERGE-SORT(L)
9      RS ← MERGE-SORT(R)
10     return MERGE(LS, RS)

MERGE-SORT2(A, p, r)
1  if p < r
2      q ← [(p+r)/2]
3      MERGE-SORT2(A, p, q)
4      MERGE-SORT2(A, q + 1, r)
5      MERGE2(A, p, q, r)

MergeSort2
S(n) = { c if n is small
         cn otherwise
    
```

Memory?

MergeSort2

```

MERGE-SORT2(A, p, r)
1  if p < r
2      q ← [(p+r)/2]
3      MERGE-SORT2(A, p, q)
4      MERGE-SORT2(A, q + 1, r)
5      MERGE2(A, p, q, r)

S(n) = { c if n is small
         cn otherwise
    
```

Memory?

MergeSort

```

MERGE-SORT(A)
1  if length[A] == 1
2      return A
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4      q ← [length[A] / 2]
5      create arrays L[1..q] and R[q + 1..length[A]]
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7      copy A[q + 1..length[A]] to R
8      LS ← MERGE-SORT(L)
9      RS ← MERGE-SORT(R)
10     return MERGE(LS, RS)

S(n) = { c if n is small
         2S(n/2) + cn otherwise ?
    
```

Memory can be reused

A diagram illustrating memory reuse. It features a series of yellow horizontal bars of decreasing length, arranged in a descending staircase pattern from top-left to bottom-right. The top bar is the longest, followed by two shorter bars, then two even shorter bars, and finally two very short bars at the bottom. In the top right corner, there is a decorative graphic of a grid of colored dots in shades of purple, blue, and yellow.

Memory can be reused

A diagram illustrating memory reuse, similar to the one on the left. It features a series of yellow horizontal bars of decreasing length, arranged in a descending staircase pattern. The top bar is the longest, followed by two shorter bars, then two even shorter bars, and finally two very short bars at the bottom. In the top right corner, there is a decorative graphic of a grid of colored dots in shades of purple, blue, and yellow. Additionally, a red cross is drawn over the two shortest bars at the bottom left.

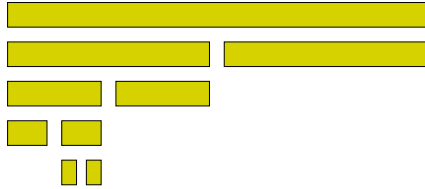
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Memory can be reused



$$s(n) = n + n + n/2 + n/4 + n/8 + \dots$$

Memory?

Both MergeSort and MergeSort2 are $O(n)$ memory

In general, we're interested in maximum memory used

- MergeSort $\sim 3n$
- MergeSort2 $\sim 2n$

We may also be interested in average memory usage

- MergeSort $>$ MergeSort2

MergeSort: Another view

How difficult are the two versions to implement?

```

Merge(L, R)
1 create array B of length length[L] + length[R]
2 i ← 1
3 j ← 1
4 for k ← 1 to length[R]
5   if j > length[R] or (i ≤ length[L] and L[i] ≤ R[j])
6     B[i] ← L[i]
7     i ← i + 1
8   else
9     B[i] ← R[j]
10    j ← j + 1
11 return B

Merge2(A, p, q, r)
1 n1 ← q - p + 1  ▷ length of the left array
2 n2 ← r - q      ▷ length of the right array
3 create arrays L[1..n1 + 1] and R[1..n2 + 1]
4 for i ← 1 to n1
5   L[i] ← A[p + i - 1]
6 for j ← 1 to n2
7   R[j] ← A[q + j]
8 L[n1 + 1] ← ∞
9 R[n2 + 1] ← ∞
10 i ← 1
11 j ← 1
12 for k ← p to r
13   if L[i] ≤ R[j]
14     A[k] ← L[i]
15     i ← i + 1
16   else
17     A[k] ← R[j]
18     j ← j + 1
    
```