http://www.youtube.com/watch?v=dD_NdnYrDzY

PARSING 2

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some slides adapted from
Ray Mooney

Admin

- Quiz 1 (out of 32)
  - High: 31
  - Average: 26

- Assignment 3 will be out soon
- Watson vs. Humans

Parsing

- Given a CFG and a sentence, determine the possible parse tree(s)

I eat sushi with tuna

```
S -> NP  VP
NP -> PRP
NP -> N PP
VP -> V NP
VP -> V NP PP
PP -> IN N
PRP -> I
V -> eat
N -> sushi
N -> tuna
IN -> with
```

Parsing

- Top-down parsing
  - start at the top (usually S) and apply rules
  - matching left-hand sides and replacing with right-hand sides

- Bottom-up parsing
  - start at the bottom (i.e. words) and build the parse tree up from there
  - matching right-hand sides and replacing with left-hand sides

Dynamic Programming Parsing

- To avoid extensive repeated work you must cache intermediate results, specifically found constituents
- Caching (memoizing) is critical to obtaining a polynomial time parsing (recognition) algorithm for CFGs
- Dynamic programming algorithms based on both top-down and bottom-up search can achieve $O(n^3)$ recognition time where n is the length of the input string.
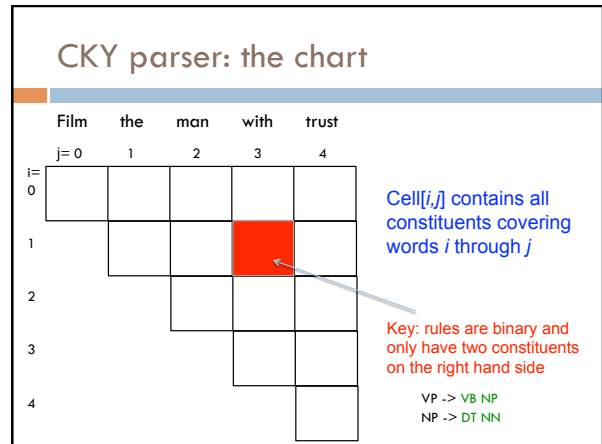
CKY

- First grammar must be converted to **Chomsky normal form (CNF)**
  - We'll allow all unary rules, though

- Parse bottom-up storing phrases formed from all substrings in a triangular table (chart)

CNF Grammar

```
S -> VP
VP -> VB NP
VP -> VB NP PP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> trust
NN -> man
NN -> film
NN -> trust
```

```
S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> trust
NN -> man
NN -> film
NN -> trust
```

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

what does this cell represent?

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

all constituents spanning 1-3 or "the man with"

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

how could we figure this out?

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

Key: rules are binary and only have two constituents on the right hand side

VP -> VB NP
NP -> DT NN

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

See if we can make a new constituent combining any for "the" with any for "man with"

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

See if we can make a new constituent combining any for "the man" with any for "with"

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					?
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

See if we can make a new constituent combining any for "Film" with any for "the man with trust"

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

See if we can make a new constituent combining any for "Film the" with any for "man with trust"

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

See if we can make a new constituent combining any for "Film the man" with any for "with trust"

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

See if we can make a new constituent combining any for "Film the man with" with any for "trust"

CKY parser: the chart

	Film	the	man	with	trust
	j= 0	1	2	3	4
i= 0					
1					
2					
3					
4					

Cell[i,j] contains all constituents covering words i through j

What if our rules weren't binary?

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

See if we can make a new constituent combining any for "Film" with any for "the man" with any for "with trust"

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

What order should we fill the entries in the chart?

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

What order should we traverse the entries in the chart?

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell[*i,j*] contains all constituents covering words *i* through *j*

From bottom to top, left to right

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4

i=

Cell[*i,j*] contains all constituents covering words *i* through *j*

Top-left along the diagonals moving to the right

CKY parser: unary rules

S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> trust
NN -> man
NN -> film
NN -> trust

☐ Often, we will leave unary rules rather than converting to CNF

☐ Do these complicate the algorithm?
  ☐ Must check whenever we add a constituent to see if any unary rules apply

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4

i=0

S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> man
VB -> trust
NN -> man
NN -> film
NN -> trust

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0	NN NP VB				
1		DT			
2			VB NN NP		
3				IN	
4					VB NN NP

S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> man
VB -> trust
NN -> man
NN -> film
NN -> trust

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CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0	NN NP VB	—			
1		DT	NP		
2			VB NN NP	—	
3				IN	PP
4					VB NN NP

S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> man
VB -> trust
NN -> man
NN -> film
NN -> trust

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0	NN NP VB	—	VP2 VP S		
1		DT	NP	—	
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> man
VB -> trust
NN -> man
NN -> film
NN -> trust

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0	NN NP VB	—	VP2 VP S	—	
1		DT	NP	—	NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> man
VB -> trust
NN -> man
NN -> film
NN -> trust

CKY parser: the chart

	Film	the	man	with	trust
j=	0	1	2	3	4
i=0	NN NP VB	—	VP2 VP S	—	S VP VP2
1		DT	NP	—	NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> man
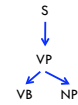VB -> trust
NN -> man
NN -> film
NN -> trust

CKY: some things to talk about

- After we fill in the chart, how do we know if there is a parse?
  - If there is an **S** in the upper right corner
- What if we want an actual tree/parse?

j= 0	1	2	3	4
NN VB	—	VB2 VP S	—	S VP
	DT	NP	—	NP
		VB NN NP	—	NP
			IN	PP
				VB NN NP

CKY: retrieving the parse

	Film j= 0	the 1	man 2	with 3	trust 4
i= 0	NN NP VB	—	VB2 VP S	—	S VP VP
1		DT	NP	—	NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

CKY: retrieving the parse

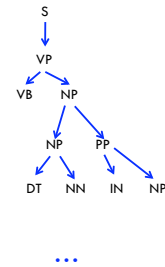|	Film j= 0	the 1	man 2	with 3	trust 4
i= 0	NN NP VB	—	VB2 VP S	—	S VP VP
1		DT	NP	—	NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

CKY: retrieving the parse

	Film j= 0	the 1	man 2	with 3	trust 4
i= 0	NN NP VB	—	VB2 VP S	—	S VP VP
1		DT	NP	—	NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

...

CKY: retrieving the parse

	Film j= 0	the 1	man 2	with 3	trust 4
i= 0	NN NP VB	—	VB2 VP S NP	—	S VP NP
1		DT			NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

Where do these arrows/ references come from?

CKY: retrieving the parse

	Film j= 0	the 1	man 2	with 3	trust 4
i= 0	NN NP VB	—	VB2 VP S NP	—	S VP
1		DT			NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

S -> VP

To add a constituent in a cell, we're applying a rule

The references represent the smaller constituents we used to build this constituent

CKY: retrieving the parse

	Film j= 0	the 1	man 2	with 3	trust 4
i= 0	NN NP VB	—	VB2 VP S	—	S VP
1		DT	NP		NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

VP -> VB NP

To add a constituent in a cell, we're applying a rule

The references represent the smaller constituents we used to build this constituent

CKY: retrieving the parse

	Film j= 0	the 1	man 2	with 3	trust 4
i= 0	NN NP VB	—	VB2 VP S	—	S VP
1		DT	NP		NP
2			VB NN NP	—	NP
3				IN	PP
4					VB NN NP

What about ambiguous parses?

CKY: retrieving the parse

- We can store multiple derivations of each constituent
- This representation is called a "parse forest"
- It is often convenient to leave it in this form, rather than enumerate all possible parses. Why?

CKY: some things to think about

CNF	Actual grammar
S -> VP	S -> VP
VP -> VB NP	VP -> VB NP
VP -> VP2 PP	VP -> VB NP PP
VP2 -> VB NP	NP -> DT NN
NP -> DT NN	NP -> NN
NP -> NN	…
…	

We get a CNF parse tree

but want one for the actual grammar

Ideas?

Parsing ambiguity

S -> NP VP
NP -> PRP
NP -> N PP
VP -> V NP
VP -> V NP PP
PP -> IN N
PRP -> I
V -> eat
N -> sushi
N -> tuna
IN -> with

I eat sushi with tuna

I eat sushi with tuna

How can we decide between these?

A Simple PCFG

Probabilities!

S	→	NP VP	1.0	NP	→	NP PP	0.4
VP	→	V NP	0.7	NP	→	astronomers	0.1
VP	→	VP PP	0.3	NP	→	ears	0.18
PP	→	P NP	1.0	NP	→	saw	0.04
P	→	with	1.0	NP	→	stars	0.18
V	→	saw	1.0	NP	→	telescope	0.1

Just like n-gram language modeling, PCFGs break the sentence generation process into smaller steps/probabilities

The probability of a parse is the product of the PCFG rules

$= 1.0 * 0.1 * 0.7 * 1.0 * 0.4 * 0.18$
$\quad * 1.0 * 1.0 * 0.18$
$= 0.0009072$

$= 1.0 * 0.1 * 0.3 * 0.7 * 1.0 * 0.18$
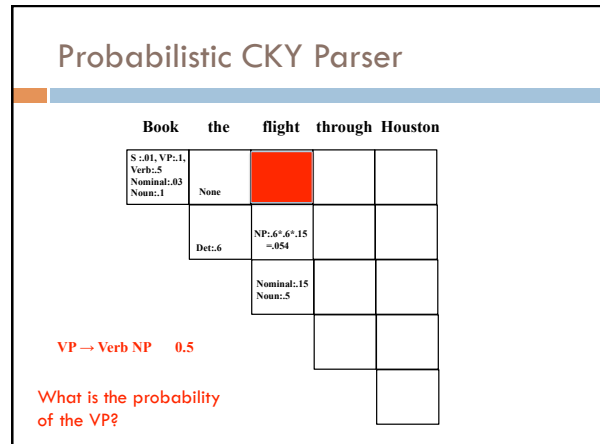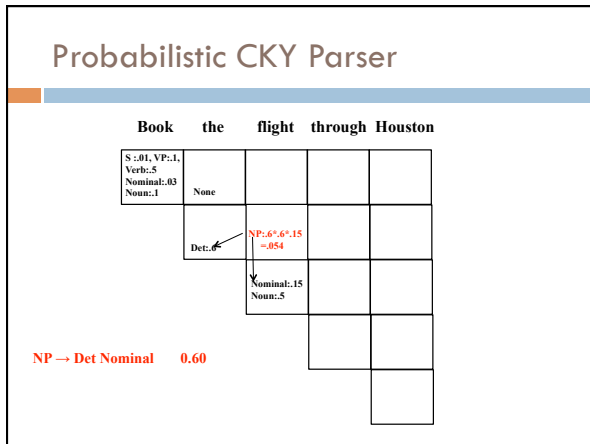$\quad * 1.0 * 1.0 * 0.18$
$= 0.0006804$

Parsing with PCFGs

- How does this change our CKY algorithm?
  - We need to keep track of the probability of a constituent
- How do we calculate the probability of a constituent?
  - Product of the PCFG rule times the product of the probabilities of the sub-constituents (right hand sides)
  - Building up the product from the bottom-up
- What if there are multiple ways of deriving a particular constituent?
  - max: pick the most likely derivation of that constituent

Probabilistic CKY

- Include in each cell a probability for each non-terminal
- Cell[i,j] must retain the *most probable* derivation of each constituent (non-terminal) covering words i through j
- When transforming the grammar to CNF, must set production probabilities to preserve the probability of derivations

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Probabilistic Grammar Conversion

Original Grammar		Chomsky Normal Form	
S → NP VP	0.8	S → NP VP	0.8
S → Aux NP VP	0.1	S → X1 VP	0.1
		X1 → Aux NP	1.0
S → VP	0.1	S → book | include | prefer	
		0.01 0.004 0.006	
		S → Verb NP	0.05
		S → VP PP	0.03
NP → Pronoun	0.2	NP → I | he | she | me	
		0.1 0.02 0.02 0.06	
NP → Proper-Noun	0.2	NP → Houston | NWA	
		0.16 .04	
NP → Det Nominal	0.6	NP → Det Nominal	0.6
Nominal → Noun	0.3	Nominal → book | flight | meal | money	
		0.03 0.15 0.06 0.06	
Nominal → Nominal Noun	0.2	Nominal → Nominal Noun	0.2
Nominal → Nominal PP	0.5	Nominal → Nominal PP	0.5
VP → Verb	0.2	VP → book | include | prefer	
		0.1 0.04 0.06	
VP → Verb NP	0.5	VP → Verb NP	0.5
VP → VP PP	0.3	VP → VP PP	0.3
PP → Prep NP	1.0	PP → Prep NP	1.0

Probabilistic CKY Parser

	Book	the	flight	through	Houston
	S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None			
		Det:.6			
			Nominal:.15 Noun:.5		

NP → Det Nominal   0.60

What is the probability of the NP?

Probabilistic CKY Parser

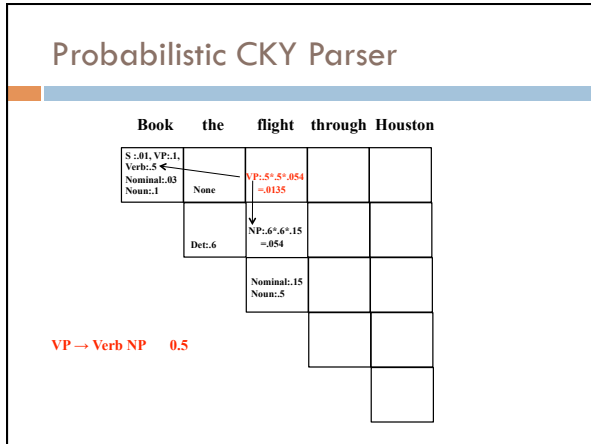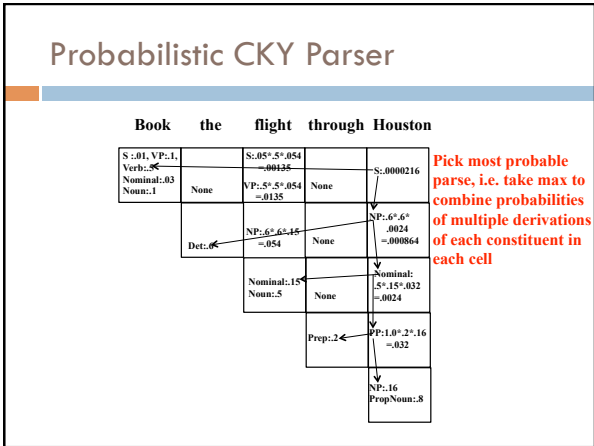|	Book	the	flight	through	Houston
	S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None			
		Det:.6	NP:.6*.6*.15 =.054		
			Nominal:.15 Noun:.5		

NP → Det Nominal   0.60

Probabilistic CKY Parser

	Book	the	flight	through	Houston
	S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None			
		Det:.6	NP:.6*.6*.15 =.054		
			Nominal:.15 Noun:.5		

VP → Verb NP   0.5

What is the probability of the VP?

Probabilistic CKY Parser

Book	the	flight	through	Houston
S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None	VP:.5*.5*.054 =.0135		
	Det:.6	NP:.6*.6*.15 =.054		
		Nominal:.15 Noun:.5		

VP → Verb NP   0.5

Probabilistic CKY Parser

Book	the	flight	through	Houston
S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135		
	Det:.6	NP:.6*.6*.15 =.054		
		Nominal:.15 Noun:.5		

Probabilistic CKY Parser

Book	the	flight	through	Houston
S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135	None	
	Det:.6	NP:.6*.6*.15 =.054	None	
		Nominal:.15 Noun:.5	None	
			Prep:.2	

Probabilistic CKY Parser

Book	the	flight	through	Houston
S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	None	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135	None	
	Det:.6	NP:.6*.6*.15 =.054	None	
		Nominal:.15 Noun:.5	None	
			Prep:.2	PP:1.0*.2*.16 =.032
				NP:.16 PropNoun:.8

Probabilistic CKY Parser

	Book	the	flight	through	Houston
	S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135			
		None	None		
		Det:.6	NP:.6*.6*.15 =.054	None	
				Nominal:.15 Noun:.5	Nominal: .5*.15*.032 =.0024
					None
				Prep:.2	PP:1.0*.2*.16 =.032
					NP:.16 PropNoun:.8

Probabilistic CKY Parser

	Book	the	flight	through	Houston
	S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135			
		None	None		
		Det:.6	NP:.6*.6*.15 =.054	None	NP:.6*.6* .0024 =.000864
				Nominal:.15 Noun:.5	Nominal: .5*.15*.032 =.0024
					None
				Prep:.2	PP:1.0*.2*.16 =.032
					NP:.16 PropNoun:.8

Probabilistic CKY Parser

	Book	the	flight	through	Houston
	S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135			S:.05*.5* .000864 =.0000216
		None	None		
		Det:.6	NP:.6*.6*.15 =.054	None	NP:.6*.6* .0024 =.000864
				Nominal:.15 Noun:.5	Nominal: .5*.15*.032 =.0024
					None
				Prep:.2	PP:1.0*.2*.16 =.032
					NP:.16 PropNoun:.8

S:.03*.0135* .032 =.00001296

S → VP PP   0.03

S → Verb NP 0.05

Probabilistic CKY Parser

	Book	the	flight	through	Houston
	S :.01, VP:.1, Verb:.5 Nominal:.03 Noun:.1	S:.05*.5*.054 =.00135 VP:.5*.5*.054 =.0135			S:.0000216
		None	None		
		Det:.6	NP:.6*.6*.15 =.054	None	NP:.6*.6* .0024 =.000864
				Nominal:.15 Noun:.5	Nominal: .5*.15*.032 =.0024
					None
				Prep:.2	PP:1.0*.2*.16 =.032
					NP:.16 PropNoun:.8

Pick most probable parse, i.e. take max to combine probabilities of multiple derivations of each constituent in each cell

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PCFG: Training

□ If we have example parsed sentences, how can we learn a set of PCFGs?

Tree Bank

S → NP VP	0.9
S → VP	0.1
NP → Det A N	0.5
NP → NP PP	0.3
NP → PropN	0.2
A → ε	0.6
A → Adj A	0.4
PP → Prep NP	1.0
VP → V NP	0.7
VP → VP PP	0.3

English

Extracting the rules

I eat sushi with tuna

S -> NP VP
NP -> PRP
PRP -> I
VP -> V NP
V -> eat
NP -> N PP
N -> sushi
PP -> IN N
IN -> with
N -> tuna

What CFG rules occur in this tree?

Estimating PCFG Probabilities

□ We can extract the rules from the trees

□ Then, we can count the probabilities using MLE

$$P(\alpha \to \beta \mid \alpha) = \frac{\text{count}(\alpha \to \beta)}{\sum_{\gamma} \text{count}(\alpha \to \gamma)} = \frac{\text{count}(\alpha \to \beta)}{\text{count}(\alpha)}$$

Estimating PCFG Probabilities

S -> NP VP	10
S -> V NP	3
S -> VP PP	2
NP -> N	7
NP -> N PP	3
NP -> DT N	6

P(S -> V NP) = ?

Estimating PCFG Probabilities

```
S -> NP VP      10
S -> V NP        3
S -> VP PP       2
NP -> N          7          P( S -> V NP) = ?
NP -> N PP       3
NP -> DT N       6
```

$$P(S \text{ -> } V\ NP) = P(S \text{ -> } V\ NP \mid S) = \frac{count(S \text{ -> } V\ NP)}{count(S)} = 3/15$$

Generic PCFG Limitations

- PCFGs do not rely on specific words or concepts, only general structural disambiguation is possible (e.g. prefer to attach PPs to Nominals)
  - Generic PCFGs cannot resolve syntactic ambiguities that require semantics to resolve, e.g. ate with fork vs. meatballs

- Smoothing/dealing with out of vocabulary

- MLE estimates are not always the best