## Structural Induction

Joseph C Osborn

April 28, 2025

(ロ)、(型)、(E)、(E)、 E) のQ(()

## Outline

Induction, Revisited

Structural Induction on Lists

## Inductive "motors"

# We've seen two inductive principles so far "Weak induction" over natural numbers P(0) ∧ (∀x, P(x) → P(x + 1)) → (∀x, P(x)) "Strong induction" over natural numbers P(0) ∧ (∀x, (∀y, y ≤ x → P(y)) → P(x + 1)) → (∀x, P(x)) But we can imagine others

#### More "motors"

"Induction over even numbers"

- "If P holds for an even number n, and we can show therefore P holds for n+2, then it holds for all even numbers"
- "Induction over powers of two"
  - "If P holds for a power of two x, and we can show therefore P holds for 2x, then it holds for all powers of two"
- "Induction over strings"
  - "If P holds for a string S, and we can show therefore P holds for S but with some arbitrary character appended, P holds for all strings"

## Inductively Defined Structures

- Our original induction principle is nothing special
- Each of these inductive motors is defined over an inductively defined structure
  - "The next even number" is two bigger than the last
  - "The next power of two" is two times the last
  - "The next string" is one character longer
  - "The next natural number" is one bigger than the last

## The Natural Numbers

- So far we've described natural numbers as an open interval from 0...
  - We could instead say "0 is a natural number, and forall natural numbers n, 1+n is a natural number".
- This framing is an inductive definition
  - Inductive definitions automatically provide inductive principles (motors)

# Other inductive structures





- ► Graphs
- Haskell programs

...and more!

## Induction and Recursion

#### Induction is *dual* to recursion

- Recursion breaks down a big problem into small pieces
- Induction builds up a big object (a value, a proof) out of small pieces

 Induction is the natural tool for proofs about computer programs

Whether implemented with recursion or loops

## List Processing

```
length [] = 0
length (_x:1) = 1 + length 1
append [] 12 = 12
append (x:11) 12 = x:(append 11 12)
reverse [] = []
reverse (x:1) = append (reverse 1) [x]
```

▲□▶ ▲□▶ ▲□▶ ▲□▶ = 三 のへで

## Some Properties

forall |1 |2, length 11 + length 12 = length (append 11 12)

- forall I, length l = length (reverse l)
- ▶ forall | x, reverse (append 1 [x]) = x:(reverse 1)
- ▶ forall |, 1 = reverse (reverse 1)

forall |1 |2, length 11 + length 12 = length (append 11 12)

forall |1 |2, length 11 + length 12 = length (append 11 12)

▶ By induction on I1.

- forall |1 |2, length 11 + length 12 = length (append 11 12)
- ▶ By induction on l1.
  - (11 = []). WTP length [] + length 12 = length (append [] 12).

- forall |1 |2, length 11 + length 12 = length (append 11 12)
- ▶ By induction on l1.
  - (I1 = []). WTP length [] + length 12 = length (append [] 12).
    - In other words, length 12 = length 12, which is trivially true.

- forall |1 |2, length 11 + length 12 = length (append 11 12)
- By induction on l1.
  - (I1 = []). WTP length [] + length 12 = length (append [] 12).
    - In other words, length 12 = length 12, which is trivially true.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

(|1 = (x:|1')). |H: length 11' + length 12 = length (append 11' 12).

- forall 11 12, length 11 + length 12 = length (append 11 12)
- By induction on I1.
  - (I1 = []). WTP length [] + length 12 = length (append [] 12).
    - In other words, length 12 = length 12, which is trivially true.
  - (l1 = (x:l1')). IH: length l1' + length l2 = length (append l1' l2).
    - WTP length (x:11') + length 12 = length (append (x:11') 12).

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

- forall |1 |2, length 11 + length 12 = length (append 11 12)
- By induction on I1.
  - (I1 = []). WTP length [] + length 12 = length (append [] 12).
    - In other words, length 12 = length 12, which is trivially true.
  - (|1 = (x:|1')). |H: length 11' + length 12 = length (append 11' 12).
    - WTP length (x:11') + length 12 = length (append (x:11') 12).

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

By the definition of append and of length, this is:

- forall 11 12, length 11 + length 12 = length (append 11 12)
- By induction on I1.
  - (I1 = []). WTP length [] + length 12 = length (append [] 12).
    - In other words, length 12 = length 12, which is trivially true.
  - (|1 = (x:|1')). |H: length 11' + length 12 = length (append 11' 12).
    - WTP length (x:11') + length 12 = length (append (x:11') 12).
    - By the definition of append and of length, this is:
    - 1 + length l' + length l2 = length (x:(append l1' l2)) = 1 + length (append l1' l2).

- forall 11 12, length 11 + length 12 = length (append 11 12)
- By induction on I1.
  - (I1 = []). WTP length [] + length 12 = length (append [] 12).
    - In other words, length 12 = length 12, which is trivially true.
  - (|1 = (x:|1')). |H: length 11' + length 12 = length (append 11' 12).
    - WTP length (x:11') + length 12 = length (append (x:11') 12).
    - By the definition of append and of length, this is:
    - 1 + length l' + length l2 = length (x:(append l1' l2)) = 1 + length (append l1' l2).
    - We know by the IH that length (append 11' 12) and length 11' + 12 are the same value, so the property is proved.

forall |, length l = length (reverse l)

▶ forall I, length 1 = length (reverse 1)

By induction on I

- forall I, length 1 = length (reverse 1)
- By induction on I
  - (I = []). WTP length [] = length (reverse []); reverse [] = [] so this is evident.

- forall I, length 1 = length (reverse 1)
- By induction on I
  - (I = []). WTP length [] = length (reverse []); reverse [] = [] so this is evident.
  - ▶ (| = (x:|')). |H: length l' = length (reverse l').

- forall I, length 1 = length (reverse 1)
- By induction on I
  - (I = []). WTP length [] = length (reverse []); reverse [] = [] so this is evident.
  - ▶ (| = (x:|')). |H: length l' = length (reverse l').
    - WTP length (x:1') = length (reverse (x:1')).

- forall I, length 1 = length (reverse 1)
- By induction on I
  - (I = []). WTP length [] = length (reverse []); reverse [] = [] so this is evident.
  - ▶ (| = (x:|')). |H: length l' = length (reverse l').
    - WTP length (x:1') = length (reverse (x:1')).
    - By def'n of reverse, 1 + length l' = length (append (reverse l') [x]).

- forall I, length 1 = length (reverse 1)
- By induction on I
  - (I = []). WTP length [] = length (reverse []); reverse [] = [] so this is evident.
  - ▶ (| = (x:|')). |H: length l' = length (reverse l').
    - WTP length (x:1') = length (reverse (x:1')).
    - By def'n of reverse, 1 + length l' = length (append (reverse l') [x]).
    - By the last property, length (append (reverse l') [x]) = length (reverse l') + length [x] = length (reverse l') + 1.

- forall I, length 1 = length (reverse 1)
- By induction on I
  - (I = []). WTP length [] = length (reverse []); reverse [] = [] so this is evident.
  - ▶ (| = (x:|')). |H: length l' = length (reverse l').
    - WTP length (x:1') = length (reverse (x:1')).
    - By def'n of reverse, 1 + length l' = length (append (reverse l') [x]).
    - By the last property, length (append (reverse l') [x]) = length (reverse l') + length [x] = length (reverse l') + 1.
    - By the IH, length (reverse 1') = length 1', so we have to show 1 + length l' = length 1' + 1, which is immediate by the commutativity of addition.

▶ forall | x, reverse (append 1 [x]) = x: (reverse 1)

▶ forall | x, reverse (append 1 [x]) = x:(reverse 1)

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへで

► By induction on I.

- ▶ forall | x, reverse (append 1 [x]) = x:(reverse 1)
- By induction on I.
  - (I = []). reverse (append [] [x]) = reverse [x] = [x] = (x:reverse []).

- ▶ forall | x, reverse (append 1 [x]) = x: (reverse 1)
- By induction on I.
  - (I = []). reverse (append [] [x]) = reverse [x] = [x] = (x:reverse []).
  - (I = (y:I')). IH: reverse (append l' [x]) = x:(reverse l').

- ▶ forall | x, reverse (append 1 [x]) = x:(reverse 1)
- By induction on I.
  - (| = []). reverse (append [] [x]) = reverse [x] = [x] = (x:reverse []).
  - (I = (y:I')). IH: reverse (append l' [x]) = x:(reverse l').
    - WTP reverse (append (y:1') [x]) = x:(reverse
       (y:1'))

- ▶ forall | x, reverse (append 1 [x]) = x: (reverse 1)
- By induction on I.
  - (| = []). reverse (append [] [x]) = reverse [x] = [x] = (x:reverse []).
  - (I = (y:I')). IH: reverse (append l' [x]) = x:(reverse l').
    - WTP reverse (append (y:1') [x]) = x:(reverse
       (y:1'))
    - By def'n of append and reverse: reverse (append (y:1')
       [x]) = append (reverse (append 1' [x])) [y].

- ▶ forall | x, reverse (append 1 [x]) = x: (reverse 1)
- By induction on I.
  - (| = []). reverse (append [] [x]) = reverse [x] = [x] = (x:reverse []).
  - (I = (y:I')). IH: reverse (append l' [x]) = x:(reverse l').
    - WTP reverse (append (y:1') [x]) = x:(reverse
      (y:1'))
    - By def'n of append and reverse: reverse (append (y:1') [x]) = append (reverse (append 1' [x])) [y].
    - By the IH, reverse (append l' [x]) = x: (reverse l'), so we have append (x: (reverse l')) [y] = x: (append (reverse l') [y]).

- forall | x, reverse (append l [x]) = x:(reverse l)
- By induction on I.
  - (| = []). reverse (append [] [x]) = reverse [x] = [x] = (x:reverse []).
  - (I = (y:I')). IH: reverse (append l' [x]) = x:(reverse l').
    - WTP reverse (append (y:1') [x]) = x:(reverse
      (y:1'))
    - By def'n of append and reverse: reverse (append (y:1') [x]) = append (reverse (append 1' [x])) [y].
    - By the IH, reverse (append l' [x]) = x: (reverse l'), so we have append (x: (reverse l')) [y] = x: (append (reverse l') [y]).
    - On the right side, we have x: (reverse (y:1')) = x: (append (reverse 1') [y]), which is just our left hand side.

- forall | x, reverse (append l [x]) = x:(reverse l)
- By induction on I.
  - (| = []). reverse (append [] [x]) = reverse [x] = [x] = (x:reverse []).
  - (I = (y:I')). IH: reverse (append l' [x]) = x:(reverse l').
    - WTP reverse (append (y:1') [x]) = x:(reverse
      (y:1'))
    - By def'n of append and reverse: reverse (append (y:1') [x]) = append (reverse (append 1' [x])) [y].
    - By the IH, reverse (append l' [x]) = x: (reverse l'), so we have append (x: (reverse l')) [y] = x: (append (reverse l') [y]).
    - On the right side, we have x: (reverse (y:1')) = x: (append (reverse 1') [y]), which is just our left hand side.
    - So the left and right sides are equal and the theorem is proved.

#### ▶ forall |, 1 = reverse (reverse 1)

▲ロト ▲圖 ▶ ▲ 臣 ▶ ▲臣 ▶ ● 臣 ● のへで

▶ forall |, 1 = reverse (reverse 1)

▶ By induction on I.



```
▶ forall |, 1 = reverse (reverse 1)
```

By induction on I.

▶ (| = []). reverse (reverse []) = reverse [] = [].

```
forall I, 1 = reverse (reverse 1)
By induction on I.
(I = []). reverse (reverse []) = reverse [] = [].
(I = (x:I')). IH: 1' = reverse (reverse 1').
```

▲□▶ ▲圖▶ ▲≣▶ ▲≣▶ = = の�?

```
forall I, 1 = reverse (reverse 1)
By induction on I.
(I = []). reverse (reverse []) = reverse [] = [].
(I = (x:I')). IH: 1' = reverse (reverse 1').
WTP (x:1') = reverse (reverse (x:1')).
By def'n of reverse: reverse (reverse (x:1')) = reverse (append (reverse 1') [x]).
```

```
forall |, 1 = reverse (reverse 1)
```

By induction on I.

- ▶ (| = []). reverse (reverse []) = reverse [] = [].
- (I = (x:I')). IH: 1' = reverse (reverse 1').
  - ► WTP (x:1') = reverse (reverse (x:1')).
  - By def'n of reverse: reverse (reverse (x:1')) = reverse (append (reverse 1') [x]).

By the previous theorem, reverse (append (reverse 1') [x]) = x:(reverse (reverse 1')).

```
forall |, 1 = reverse (reverse 1)
```

By induction on I.

- ▶ (| = []). reverse (reverse []) = reverse [] = [].
- (I = (x:I')). IH: 1' = reverse (reverse 1').
  - ► WTP (x:1') = reverse (reverse (x:1')).
  - By def'n of reverse: reverse (reverse (x:l')) = reverse (append (reverse l') [x]).
  - By the previous theorem, reverse (append (reverse 1')
    [x]) = x:(reverse (reverse 1')).
  - But reverse (reverse 1') is just 1' by the IH, so we've shown what we are trying to prove.

# Higher-Order Functions

```
map _f [] = []
map f (x:1) = (f x): (map f 1)
filter f [] = []
filter f (x:1)
  | f x = x: (filter f 1)
  | otherwise = filter f l
double_all [] = []
double all (x:1) = (x+x) : double all 1
 Formally state and prove these properties:
```

"The output of map f 1 has the same length as the input list"

- "The output of map f (append 11 12) is the same as append (map f 11) (map f 12)"
- "map (\* 2) is equivalent to double\_all"

What does it mean for two functions to be equivalent?