

# Lecture II: PCF & Natural Semantics

CSC 131

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## Scope

- Range of instructions where identifier is known
- Static: Scope associated with static text of program.
- Dynamic: Scope associated with execution path of program.

## Hole in Scope (Static)

```
program ...
  var M: integer;
  ....
procedure A ...
  var M: array [1..10] of real;
begin
  ...
end;
begin
  ...
end.
```

## Static vs Dynamic Scope

```
program ...
  var A : integer;

procedure Y(B: integer);
begin
  ...
  B := A + B;
end; {Y}
```

```
procedure Z(...);
var A: integer;
begin
  ...
  Y(...);
  ...
end; {Z}
begin {main}
  ...
  Z(...);
  ...
end.
```

*Symbol Table: Built compile-time or run-time?*

## Semantics

- Operational
- Axiomatic
- Denotational (*Mitchell text*)

## Natural (*Operational*) Semantics

- Arithmetic expressions example on web page
  - ArithSemantics.hs
- How to interpret identifiers?
- Environment: Association list of id's & values.
- Semantics defined recursively on abstract syntax trees.

## PCF

- Programming language for Computable Functions
- Includes recursive definitions
- Call-by-value (eager) semantics
- Function application as substitution
- Rewriting semantics

## Semantics in English

- Semantics of `succ e`
  - Evaluate expression `e` to value `v`
    - return `v+1`
- Semantics of `if b then e1 else e2`
  - Evaluate `b`
    - if `b` evaluates to true return value of `e1`  
otherwise return value of `e2`

## PCF Syntax & Semantics

$e ::= x \mid n \mid \text{true} \mid \text{false} \mid \text{succ} \mid \text{pred} \mid \text{iszero} \mid \text{if } e \text{ then } e \text{ else } e \mid (\text{fn } x \Rightarrow e) \mid (e \ e) \mid \text{rec } x \Rightarrow e \mid \text{let } x = e_1 \text{ in } e_2 \text{ end}$

(1)  $n \Rightarrow n$  for  $n$  an integer.

(2)  $\text{true} \Rightarrow \text{true}$ ,  $\text{false} \Rightarrow \text{false}$

(3)  $\text{error} \Rightarrow \text{error}$

(4)  $\text{succ} \Rightarrow \text{succ}$ , and similarly for the other initial functions

(5)  $b \Rightarrow \text{true} \quad e_1 \Rightarrow v$   
 $\text{if } b \text{ then } e_1 \text{ else } e_2 \Rightarrow v$

## More PCF Semantics

(6)  $b \Rightarrow \text{false} \quad e_2 \Rightarrow v$   
 $\text{if } b \text{ then } e_1 \text{ else } e_2 \Rightarrow v$

(7)  $e_1 \Rightarrow \text{succ} \quad e_2 \Rightarrow n$   
 $(e_1 \ e_2) \Rightarrow (n+1)$

(8)  $e_1 \Rightarrow \text{pred} \quad e_2 \Rightarrow 0$   
 $(e_1 \ e_2) \Rightarrow 0$

$e_1 \Rightarrow \text{pred} \quad e_2 \Rightarrow (n+1)$   
 $(e_1 \ e_2) \Rightarrow n$

(9)  $e_1 \Rightarrow \text{iszero} \quad e_2 \Rightarrow 0$   
 $(e_1 \ e_2) \Rightarrow \text{true}$

$e_1 \Rightarrow \text{iszero} \quad e_2 \Rightarrow (n+1)$   
 $(e_1 \ e_2) \Rightarrow \text{false}$

## More PCF Semantics

(10)  $(\text{fn } x \Rightarrow e) \Rightarrow (\text{fn } x \Rightarrow e)$

(11)  $e_1 \Rightarrow (\text{fn } x \Rightarrow e_3) \quad e_2 \Rightarrow v_1 \quad e_3[x:=v_1] \Rightarrow v$   
 $(e_1 \ e_2) \Rightarrow v$

*Call by value!*

(12)  $e[x:=\text{rec } x \Rightarrow e] \Rightarrow v$   
 $(\text{rec } x \Rightarrow e) \Rightarrow v$

*Like Y combinator!*

## Recursion

$f \ n = \text{if } (n == 0) \text{ then } 1 \text{ else } n * (f(n-1))$

is written in PCF (assuming have already defined  $\text{mult}$ ) as

$\text{rec } f \Rightarrow \text{fn } n \Rightarrow \text{if } (\text{isZero } n) \text{ then } 1 \text{ else } \text{mult } n \ (f \ (\text{pred } n))$

which is equivalent to

$\text{Y}(\lambda f. \ \lambda n. \ \text{cond } (\text{isZero } n) \ 1 \ (\text{mult } n \ (f \ (\text{pred } n))))$

Computed via unwinding.

## Substitution-based Interpreter

```
data Term = AST_ID String | AST_NUM Int | AST_BOOL Bool  
| AST_SUCC | AST_PRED | AST_ISZERO  
| AST_IF (Term, Term, Term) | AST_ERROR String  
| AST_FUN (String, Term) | AST_APP (Term, Term)  
| AST_REC (String, Term)
```

- Key is to get right definition of substitution that matches static scope
- Interpreter code matches semantic rules
  - PCFSubstInterpreter.hs

## PCF Semantics w/Environments

- Substitution slow & space consuming
- Can't handle terms w/free variables
- Environment allows to evaluate once.
- Meaning now separate set of values -- not just rewriting
- Meaning of function is closure, which carries around its environment of definition.

## The Problem

- Program:
  - $y = 4$
  - $f x = x + y$
  - $g (h) = \text{let } y = 5 \text{ in } (h\ 2) + y$
  - $g(f)$
- When evaluate  $(h\ 2)$ , the needed  $y$  is out of scope!

## Values of Answers

- Key difference w/ new interpreter
  - Update environment, not rewrite term!
  - Not destructive!
- Mutually recursive type definitions:

```
data Value = NUM Int | BOOL Bool | SUCC | PRED |  
ISZERO | CLOSURE (String, Term, Env) |  
THUNK (Term, Env) | ERROR (String, Value)  
type Env = [(String, Value)]
```

# Solving the Problem

- Program:
  - $y = 4$
  - $f x = x + y$
  - $g(h) = \text{let } y = 5 \text{ in } (h\ 2) + y$
  - $g(f)$
- $f$  evaluates to  $\langle \text{fn } x \Rightarrow x+y, [y=4] \rangle$
- $g(f)$  partially evaluates to  $(h\ 2) + y$  in environment where  $\text{env} = [y=5, h \rightarrow \langle \text{fn } x \Rightarrow x+y, [y=4] \rangle]$

# PCF Syntax & Semantics with Environments

`env:: string -> value`

- (0)  $(\text{id}, \text{env}) \Rightarrow \text{env}(\text{id})$
- (1)  $(n, \text{env}) \Rightarrow n$  for  $n$  an integer.
- (2)  $(\text{true}, \text{env}) \Rightarrow \text{true}$ ,  $(\text{false}, e) \Rightarrow \text{false}$
- (3)  $(\text{error}, \text{env}) \Rightarrow \text{error}$
- (4)  $(\text{succ}, \text{env}) \Rightarrow \text{succ}$ , similarly for other initial functions
- (5)  $(b, \text{env}) \Rightarrow \text{true}$        $(e_1, \text{env}) \Rightarrow v$   
 $\text{-----}$   
 $(\text{if } b \text{ then } e_1 \text{ else } e_2, \text{env}) \Rightarrow v$

# More PCF Semantics

- (6)  $(b, \text{env}) \Rightarrow \text{false}$      $(e_2, \text{env}) \Rightarrow v$   
 $\text{-----}$   
 $(\text{if } b \text{ then } e_1 \text{ else } e_2, \text{env}) \Rightarrow v$
- (7)  $(e_1, \text{env}) \Rightarrow \text{succ}$      $(e_2, \text{env}) \Rightarrow n$   
 $\text{-----}$   
 $((e_1\ e_2), \text{env}) \Rightarrow (n+1)$
- (8) ...
- (9) ...

# Revised PCF Semantics

- 
- (10)  $((\text{fn } x \Rightarrow e), \text{env}) \Rightarrow \langle \text{fn } x \Rightarrow e, \text{env} \rangle$
  - (11)  $(e_1, \text{env}) \Rightarrow \langle \text{fn } x \Rightarrow e_3, \text{env}' \rangle$      $(e_2, \text{env}) \Rightarrow v_1$   
 $(e_3, \text{env}'[v_1/x]) \Rightarrow v$   
 $\text{-----}$   
 $((e_1\ e_2), \text{env}) \Rightarrow v$
  - (12)  $(e, \text{env}[(\text{rec } x \Rightarrow e)/x]) \Rightarrow v$   
 $\text{-----}$   
 $((\text{rec } x \Rightarrow e), \text{env}) \Rightarrow v$

See code on-line in  
[PCFEnvInterpreter.hs](#)