

Proving Non-Termination

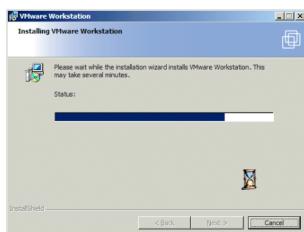
Ashutosh K. Gupta Thomas A. Henzinger Rupak Majumdar
MPI-SWS EPFL UCLA
Andrey Rybalchenko **Ru-Gang Xu**
MPI-SWS UCLA

Runtime Errors



2

Non-Termination Errors



Proving Non-Termination

- Search for infinite executions
- Needs effective (finitary) representation

Failed Termination Proof vs. Non-Termination

- Successful termination provers:
PolyRank, Terminator, ACL2, TerminWeb, AProVE, ...
- Inherently incomplete algorithms
- Failed termination proof ≠ non-termination



TNT: Testing Non-Termination

Tool for proving non-termination



Outline

- Example:
 - Non-termination error in a memory protection system
- TNT algorithm:
 - Lasso search
 - Recurrent set computation

Example: Non-termination Error

- Input to TNT:
 - Mondriaan memory protection system
 - (early version courtesy: E. Witchel)
 - Uses recursion for basic operation
 - Termination required
- Output by TNT:
 - non-termination bug (now fixed) in `_mmpt_insert` procedure:
 - cyclic sequences of calls to
`_mmpt_insert (..., 0, 3, ..., TAB_ROOT, 0, ...)`

Non-Termination in `_mmpt_insert`

```
void _mmpt_insert (struct* mmpt, base, len, prot, tab_t* tab, level, ...) {
    if(len == 0) return; // Exit condition
    int idx = make_idx(mmpt, base, level);
    if(level < 2 && ... && len >= tab_len(mmpt, level + 1)) {
        ...
    } else if(level < 2 && tab[idx] && !uentry_is_data(mmpt, tab[idx])) {
        _mmpt_insert (mmpt, base, len, prot, (tab_t*)tab[idx], level + 1, ...);
    } else if(level < 2 && ... ) {
        ...
    } else {
        for(; len >= subblock_len(mmpt, level) && ... ; ...) {
            ...
        }
        _mmpt_insert (mmpt, base, len, prot, mmpt->tab, 0, ...);
    }
}
```

Non-Termination in `_mmpt_insert` (first call)

```
void _mmpt_insert (struct* mmpt, 0, 3, prot, TAB_ROOT, 0, ...) {
    if(len == 0) return; // Exit condition
    int idx = make_idx(mmpt, base, level);
    if(level < 2 && ... && len >= tab_len(mmpt, level + 1)) {
        ...
    } else if(level < 2 && tab[idx] && !uentry_is_data(mmpt, tab[idx])) {
        _mmpt_insert (mmpt, base, len, prot, (tab_t*)tab[idx], level + 1, ...);
    } else if(level < 2 && ... ) {
        ...
    } else {
        for(; len >= subblock_len(mmpt, level) && ... ; ...) {
            ...
        }
        _mmpt_insert (mmpt, base, len, prot, mmpt->tab, 0, ...);
    }
}
```

Non-Termination in `_mmpt_insert` (first call)



```
void _mmpt_insert (struct* mmpt, 0, 3, prot, TAB_ROOT, 0, ...) {
    if( 3 == 0) return; // Exit condition
    int 0 = make_idx(mmpt, 0, 0);
    if( 0 < 2 && ... && 3 >= 4MB ) {
        ...
    } else if( 0 < 2 && tab[ 0 ] && !uentry_is_data(mmpt, tab[ 0 ]) ) {
        _mmpt_insert (mmpt, 0, 3, prot, (tab_t*)tab[ 0 ], 0 + 1, ...);
    } else if(level < 2 && ... ) {
        ...
    } else {
        for(; len >= subblock_len(mmpt, level) && ... ; ...) {
            ...
        }
        _mmpt_insert (mmpt, base, len, prot, mmpt->tab, 0, ...);
    }
}
```

Non-Termination in `_mmpt_insert` (second call)

```
void _mmpt_insert (struct* mmpt, 0, 3, prot, TAB_MID, 1, ...) {
    if(len == 0) return; // Exit condition
    int idx = make_idx(mmpt, base, level);
    if(level < 2 && ... && len >= tab_len(mmpt, level + 1)) {
        ...
    } else if(level < 2 && tab[idx] && !uentry_is_data(mmpt, tab[idx])) {
        _mmpt_insert (mmpt, base, len, prot, (tab_t*)tab[idx], level + 1, ...);
    } else if(level < 2 && ... ) {
        ...
    } else {
        for(; len >= subblock_len(mmpt, level) && ... ; ...) {
            ...
        }
        _mmpt_insert (mmpt, base, len, prot, mmpt->tab, 0, ...);
    }
}
```

Non-Termination in `_mmpt_insert` (second call)

```
void _mmpt_insert (struct* mmpt, 0, 3, prot, TAB_MID , 1, ...) {
    if( 3 == 0) return; // Exit condition
    int 0 = make_idx(mmpt, 0, 1);
    if( 1 < 2 && ... && 3 >= 4KB ) {
        ...
    } else if( 1 < 2 && tab[ 0 ] && !uentry_is_data(mmpt, tab[ 0 ])) {
        _mmpt_insert (mmpt, 0, 3, prot, (tab_t*)tab[ 0 ], 1 + 1, ...);
    } else if(level < 2 && ... ) {
        ...
    } else {
        for( len >= subblock_len(mmpt, level) && ... ; ... ) {
            ...
        }
        _mmpt_insert (mmpt, base, len, prot, mmpt->tab, 0, ...);
    }
}
```

Non-Termination in `_mmpt_insert` (third call)

```
void _mmpt_insert (struct* mmpt, 0, 3, prot, TAB_LEAF , 2, ...) {
    if(len == 0) return; // Exit condition
    int idx = make_idx(mmpt, base, level);
    if(level < 2 && ... && len >= tab_len(mmpt, level + 1)) {
        ...
    } else if(level < 2 && tab[idx] && !uentry_is_data(mmpt, tab[idx])) {
        _mmpt_insert (mmpt, base, len, prot, (tab_t*)tab[idx], level + 1, ...);
    } else if(level < 2 && ... ) {
        ...
    } else {
        for( len >= subblock_len(mmpt, level) && ... ; ... ) {
            ...
        }
        _mmpt_insert (mmpt, base, len, prot, mmpt->tab, 0, ...);
    }
}
```

Non-Termination in `_mmpt_insert` (third call)

```
void _mmpt_insert (struct* mmpt, 0, 3, prot, TAB_LEAF , 2, ...) {
    if( 3 == 0) return; // Exit condition
    int 0 = make_idx(mmpt, 0, 2);
    if( 2 < 2 && ... && 3 >= tab_len(mmpt, 2 + 1)) {
        ...
    } else if( 2 < 2 && tab[idx] && !uentry_is_data(mmpt, tab[idx])) {
        _mmpt_insert (mmpt, base, len, prot, (tab_t*)tab[idx], level + 1, ...);
    } else if( 2 < 2 && ... ) {
        ...
    } else {
        for( ; 3 >= 4 && ... ; ... ) {
            ...
        }
        _mmpt_insert (mmpt, 0, 3, prot, TAB_ROOT , 0, ...);
    }
}
```

Same Parameters as we started

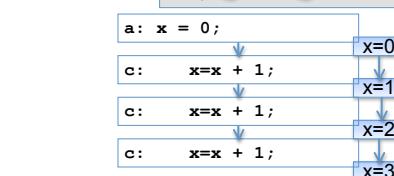
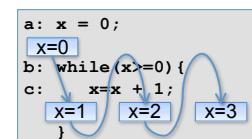
What does TNT do?

- TNT finds a cyclic sequence of calls to `_mmpt_insert`
 - The sequence is lasso – shaped
-
- Recursive call sequence
- Non-termination is proved by analyzing the lasso
– same valuation of input parameters after the call cycle

Outline:

- Search for lassos
- Prove a lasso is non-terminating through recurrent sets

Paths and Executions



Path = seq. of statements

Execution = seq. of states

What do infinite paths look like?

```
a: stmtA
  while( ... ) {
    if( ... )
      b:   stmtB
    else
      c:   stmtC
  }
```

- General paths:
e.g. stmtA
stmtB²stmtC³stmtB⁵stmtC⁷...
 - Periodic paths or **Lassos**:
e.g. stmtA stmtB stmtC stmtB stmtC
...
- \updownarrow
- $\text{stmtA}(\text{stmtB } \text{stmtC})^\omega$

• TNT only considers periodic paths

Lassos

- Pair of paths: (**stem**, **loop**)
 - Represents **infinite** periodic path:
 $\text{stem}(\text{loop})^\omega$
- ```
a: x = 0;
b: while(x>=0) {
c: x=x + 1;
}
```

x=0;  
assume(x>=0);  
x=x+1;
- Lasso = ( **x=0;** , **assume(x>=0); x=x+1;** )

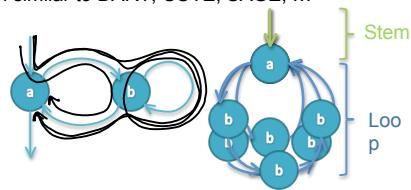
## TNT Algorithm

- Two-step algorithm:
  - Search for feasible lassos
    - Uses symbolic execution
    - Quickly find candidates for non-termination
  - Check each lasso for non-termination
    - Uses SAT and constraint solving
    - Precise reasoning on small program fragments

## Lasso Search

- Find lassos by symbolic execution
- Implementation similar to DART, CUTE, SAGE, ...

```
... ...
a: while(...) {
b: while(...) {
 ...
}
...
}
```



## Outline:

- Lasso search
- Recurrent set** computation

## Recurrent Sets

- Proves non-termination using inductive argument
- Set of states **RecSet** is recurrent for relation  $\rho(x, x')$  if:
  - non-empty
  - some successor of each state is in **RecSet**

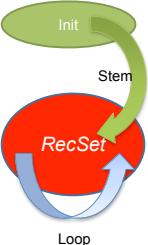


*Theorem:*

$\rho(x, x')$  is non-terminating iff there exists **RecSet**.

## Recurrent Sets for Lassos

- $\text{RecSet}$  is reachable via stem
- $\text{RecSet}$  is recurrent for loop



## Recurrent Sets to Constraints

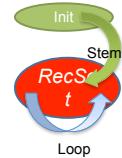
- Lasso is non-terminating iff there exists  $\text{RecSet}$  such that:

$\exists x, x' \text{RecSet}(x') \wedge \text{Stem}(x, x')$

Non-empty

$\forall x \exists x' \text{RecSet}(x) \rightarrow \text{Loop}(x, x') \wedge \text{RecSet}(x')$

Looping



## Computing Recurrent Sets

### Bit-vectors:

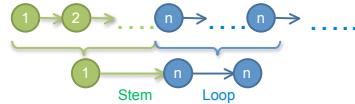
- Implementation level
- Bit-precise
- Full C expressions

### Numbers:

- Algorithmic level (more abstract)
- Unbounded integers/rationals
- Linear arithmetic only

## Recurrent Sets over Bit-vectors

- Some state has to appear infinitely often:



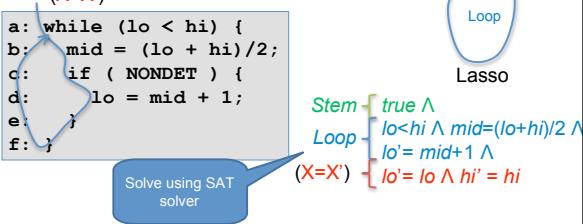
- Singleton  $\text{RecSet}$  is sufficient:

$$\text{RecSet} = \{n\}$$

## Non-Termination with Singleton Recurrent Sets

- Non-termination reduces to

$$\exists X_0, X, X' \text{Stem}(X_0, X) \wedge \text{Loop}(X, X') \wedge (X=X')$$



## Broken Binary Search

```

1: int bsearch(int a[], int k, unsigned int lo,
 unsigned int hi){
2: unsigned int mid;
3: while (lo < hi) {
4: mid = (lo + hi)/2; //Overflow at this
5: point
6: if (a[mid] < k) {
7: lo = mid + 1;
8: } else if (a[mid] > k) {
9: hi = mid - 1;
10: } else {
11: return mid;
12: }
13: }
14: return -1;
}

```



Research Blog

Extra, Extra - Read All About It: Nearly All Binary Searches and Mergesorts are Broken

- Joshua Bloch's blog presents a memory error
- Precondition (signed numbers):  $lo = 1, hi = \text{MAXINT}$
- TNT discovers non-termination bug
- Precondition (unsigned numbers):  $lo = 1, hi = \text{MAXINT}, a[0] < k$

## Computing Recurrent Sets

### Bit-vectors:

- Implementation level
- Bit-precise
- Full C expressions

### Numbers:

- Algorithmic level (more abstract)
- Unbounded integers/rationals
- Linear arithmetic only

## Recurrent Sets over Numbers

- Apply template-based technique from:
  - invariant generation (Colon et al. 2003)
  - abstract interpretation (Sankaranarayanan et al. 2006, Gulwani et al. 2008)
- RecSet** is conjunction of linear inequalities

Template:

$$\begin{aligned} p_x x + p_y y & \leq p \\ \wedge \\ q_x x + q_y y & \leq q \end{aligned}$$

Parameters:  $p_x, p_y, p,$   
 $q_x, q_y, q$

Program Variables :  $x, y$

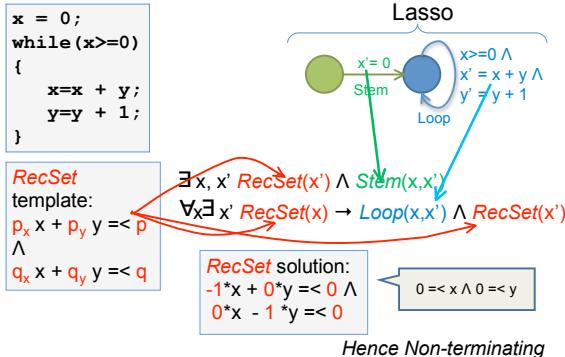
Possible instantiations:

$$\begin{aligned} 1^*x + 0^*y & \leq 2 \\ \wedge \\ 6^*x + 5^*y & \leq 3 \end{aligned}$$

$$\begin{aligned} 2^*x + 4^*y & \leq 0 \\ \wedge \\ 6^*x - 5^*y & \leq 3 \end{aligned}$$

$$\begin{aligned} 2^*x + 4^*y & \leq 0 \\ \wedge \\ 6^*x - 5^*y & \leq 3 \end{aligned}$$

## Example



## Non-termination Error in Mondriaan

- Input to TNT:
  - Mondriaan memory protection system (early version courtesy: E. Witchel)
  - Uses recursion for updates to permissions table
- Output by TNT:
  - non-termination bug (now fixed) in `_mmpt_insert` procedure: cyclic sequences of calls to

`_mmpt_insert ( ... , 0, 3, ... , TAB_ROOT , 0, ... )`

## Eventually...



Detect and prove non-termination at runtime

## Conclusion

- 2-step algorithm for proving non-termination
  - Lasso search
  - Recurrent set computation
- TNT
  - Symbolic execution
  - Constraint-based computation of recurrent sets