

# What Semantics Can Teach Functional Programmers About Object-Oriented Languages

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# O-O Languages Hot

- Seem to be a great improvement over procedural languages
  - Objects encapsulate state & methods
  - Subtyping
  - Inheritance

# What's the Big Deal?

- Are objects more than records with function components?
- What provides real power?
- How can semantics and type theory help?
- Focus on class-based O-O languages like Smalltalk, Eiffel, & Java
  - Multi-method languages are quite different

# Defining a Class

```
public class Squares {
    private FilledRect outer, inner;
    public Squares(Location upleft, int size,
        DrawingCanvas canvas){...}
    public void move(int dx, int dy) {
        outer.move(dx,dy);
        inner.move(dx,dy);
    }
    public void moveTo(int x, int y) {
        this.move(x-outer.getX(),y-outer.getY());
    }
}
```

# Instance Variables

```
public class Squares {
    private FilledRect outer, inner;
    public Squares(Location upleft, int size,
                    DrawingCanvas canvas){...}
    public void move(int dx, int dy) {
        outer.move(dx,dy);
        inner.move(dx,dy);
    }
    public void moveTo(int x, int y) {
        this.move(x-outer.getX(),y-outer.getY());
    }
}
```

# Constructor

```
public class Squares {
    private FilledRect outer, inner;
    public Squares(Location upleft, int size,
        DrawingCanvas canvas){...}
    public void move(int dx, int dy) {
        outer.move(dx,dy);
        inner.move(dx,dy);
    }
    public void moveTo(int x, int y) {
        this.move(x-outer.getX(),y-outer.getY());
    }
}
```

# Methods

```
public class Squares {
    private FilledRect outer, inner;
    public Squares(Location upleft, int size,
                    DrawingCanvas canvas){...}
    public void move(int dx, int dy) {
        outer.move(dx,dy);
        inner.move(dx,dy);
    }
    public void moveTo(int x, int y) {
        this.move(x-outer.getX(),y-outer.getY());
    }
}
```

# Creating & Using Objects

```
Squares fst = new Squares (corner, 10, canvas);
```

```
Squares snd = new Squares (middle, 40, canvas);
```

```
// objects are references
```

```
fst.moveTo(20, 30);
```

```
snd = fst; // snd & fst refer to same object
```

```
fst.move(30, 50);
```



# Objects Are Fixed Points

*First naive view of objects:*

```
[[ new Squares ( . . . ) ]] =
```

```
  μ this.({ outer = ..., // no mention of this  
            inner = ... } ×  
            { move = fun(dx,dy). this.outer...,  
              moveTo = fun(x,y). this.move(...) })
```

Defines mutually recursive methods.

# Classes Are Generators

- Classes serve many roles:
  - Types
  - Generate new objects
  - Extensible to form new generators

# Subclass

```
public class OvalSquares extends Squares {
    private FramedOval center;

    public OvalSquares(Location upleft,
        int size, DrawingCanvas canvas) {
        super(upleft, size, canvas);
        center = new FramedOval(...);
    }

    public void move(int dx, int dy) {
        super.move(dx, dy); // old move
        center.move(dx, dy);
    }
}
```

# Classes Are Generators of Fixed Points

- Meaning of *this* is not bound in classes
  - Semantics of `moveTo` changes (indirectly) in `OvalSquares`
- `Squares = SQ(this)`
- `OvalSquares = OSQ(this)` where `OSQ` extends `SQ`.
- Objects formed as fixed points of `SQ` and `OSQ`.

# Objects From Subclasses

- `sq = new Squares(...);`
  - `sq =  $\mu$  this.SQ(this)`
- `osq = new OvalSquares(...);`
  - `osq =  $\mu$  this.OSQ(this) // meaning of this changed!`
  - `where super = SQ(this) // uses new this in body`

# Subtyping

- Related to signature matching in ML and type classes in Haskell
- $T <: U$  iff any object of type T can *masquerade* as object of type U
- More formally, *subsumption* rule:

$$T <: U \ \& \ o : T \quad \Rightarrow \quad o : U$$

- Java Interfaces & extension

# Subtyping Immutable Record Types

Records *without* field update: only operation is  
extracting field: ... *s.filling* ...

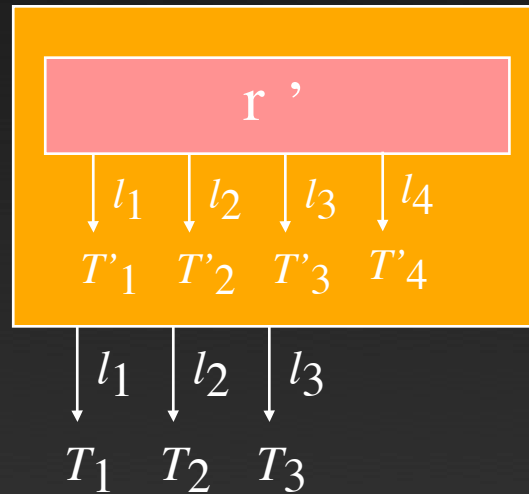
{bread: BreadTp; filling: CheeseTp; sauce: SauceTp}

<:

{ bread: BreadType; filling: FoodType }

*iff* CheeseTp <: FoodType

# Subtyping Immutable Record Types



$$\{ l_i : T'_i \}_{1 \leq i \leq n} <: \{ l_i : T_i \}_{1 \leq i \leq k}$$

*iff*

$k \leq n$  and for all  $1 \leq i \leq k$ ,  $T'_i <: T_i$ .



# Subtyping Function Types

If  $f : S \rightarrow T$  and  $s : S$  then  $f(s) : T$

*When is  $S' \rightarrow T' \leq S \rightarrow T$ ?*

If  $f' : S' \rightarrow T'$  and  $s : S$ , need  $f'(s) : T$ .

# Subtyping Function Types



$$S' \rightarrow T' <: S \rightarrow T$$

*iff*

$$S <: S' \text{ and } T' <: T.$$

*Contravariant* for parameter types.

*Covariant* for result types.

# Subtyping Reference Types

Variables can be *suppliers* & *receivers* of values.

$x := x + 1$

If  $x$  is a vble of type  $T$ , write  $x: \text{ref } T$ .

When is  $\text{ref } T' \leq \text{ref } T$ ?

To replace variable  $x : \text{ref } T$  by  $x' : \text{ref } T'$  in:

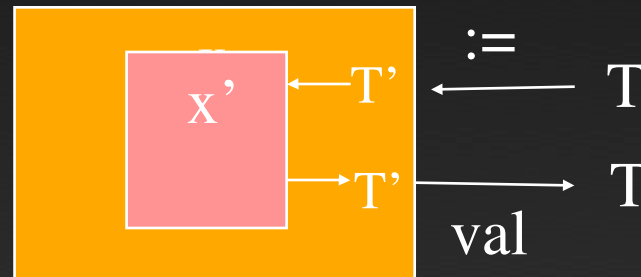
*expression:*  $\dots x \dots$

Need  $T' \leq T$ .

*assignment:*  $x := e$  where  $e:T$ .

Need  $T \leq T'$ .

# Subtyping Reference Types



Supplier: *covariant*; Receiver: *contravariant*

$\text{ref } T' <: \text{ref } T \quad \text{iff} \quad T' \approx T$

# Subtyping Updatable Record Types

Updatable Records:

When is  $\{l_i : T'_i\}_{1 \leq i \leq n} <: \{l_i : T_i\}_{1 \leq i \leq k}$ ?

...  $r.l_i := e$  ...

# Subtyping Array Types

Arrays:

*If  $S <: T$ , is Array of S  $<:$  Array of T?*

*Java says yes, but ...*

With few exceptions, for  $F: \text{Types} \rightarrow \text{Types}$ ,

$S <: T \not\Rightarrow F(S) <: F(T)$ .

# Subtyping Object Types

$\text{ObjType } \{ m_i : T'_i \}_{1 \leq i \leq n} <: \text{ObjType } \{ m_i : T_i \}_{1 \leq i \leq k}$   
*iff*  
 $k \leq n$  and for all  $1 \leq i \leq k$ ,  $T'_i <: T_i$ .

only if methods not updatable at run-time!

Method parameter can vary *contravariantly*,  
return types *covariantly*.

# Restriction on Subclass Changes

- Java doesn't allow any changes to types of methods in subclass.
- C++ allows covariant changes to return types.
- Suppose you don't care if subclass gives a subtype. Do you still need restrictions?
  - *In Smalltalk, subclass and subtype hierarchies sometimes reversed.*



```
class Example {  
    :  
    void m(...) {... this.n(s) ...}  
    T n(S x) {...}  
}
```

```
class SubExample extends Example {  
    T' n(S' x) {...}  
    void newMeth(...) {...}  
}
```

*What is relationship of new type of n to old if want type safety?*

# Restriction on Subclass Changes

- Method type in subclass must be subtype of method type in superclass for safety:
  - **Covariant** change allowed in return type
  - **Contravariant** change in parameter type

# Semantics of Classes?

- Methods must retain meaning in subclasses.

$[[ \text{class}(i:I, m:M) ]]$  =

$\forall M' <: [[M]]. \forall IR' <: [[I^{ref}]].$

$[[i]] \times \lambda(\text{this} : IR' \times (IR' \rightarrow M')). [[m]]$

# Semantics of Objects

$[[ \text{new Squares}(\dots) ]]$  =

$\{ \text{outer} = \text{ref } \dots, \text{inner} = \text{ref } \dots \} \times$

$\mu(\text{fm} : [[ \mathbb{I}^{\text{ref}} ]]) \rightarrow [[ \mathbb{M} ]]$ .

$\lambda(\text{inst} : [[ \mathbb{I}^{\text{ref}} ]])$ .

$\{ \text{move} = \text{fun}(dx, dy). \text{inst}.\text{outer} \dots,$

$\text{moveTo} = \text{fun}(x, y). \langle \text{inst}, \text{fm} \rangle.\text{move}(\dots) \}$

*Also information hiding with existential types -  
for correctness & type safety!*

# Sending Messages

$[[ \text{obj} . p ( \dots ) ]]$  =  $fm(i).p(\dots)$

where  $[[ \text{obj} ]]$  =  $\langle i, fm \rangle$

*In objects, methods fixed – parameterized by suite of instance variables, not **this**.*

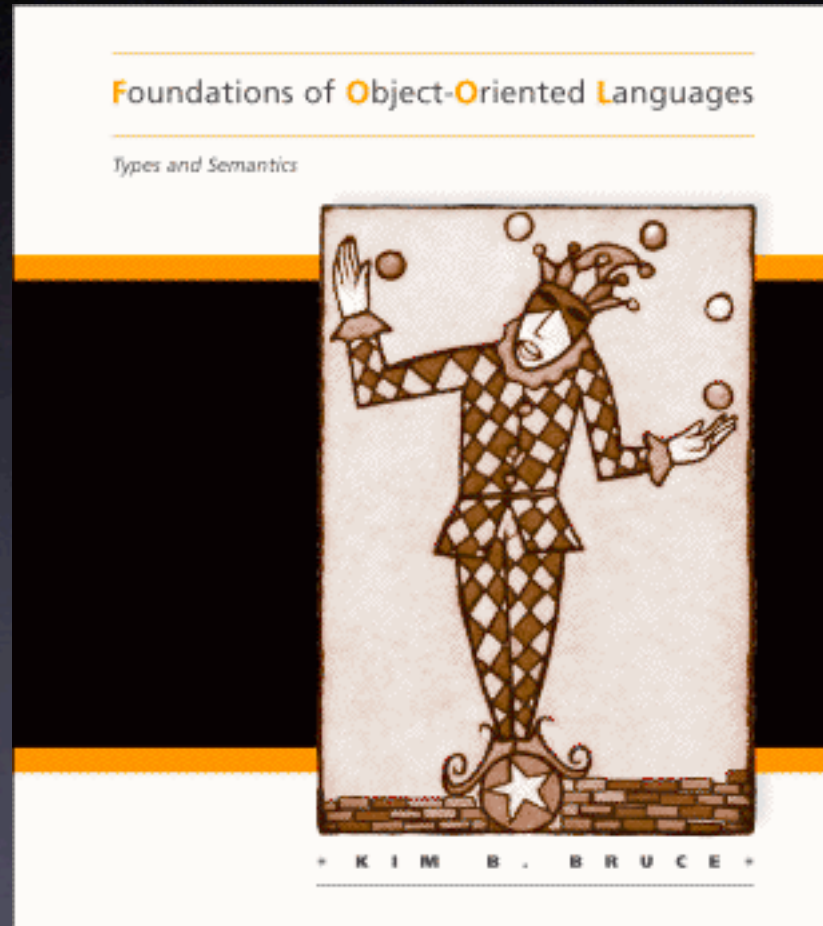
# Summary

- *Fixed points* are key to understanding O-O languages.
- Classes are *extensible generators* of fixed points.
- *Subtyping* explains restrictions on subclasses
  - Even though subtyping distinct concept.

# There Is Much More ...

- Gets *much* more interesting when:
  - Allow type parameters (e.g., GJ)
  - Allow type for this: *ThisType*
  - Consider weaker relations than subtyping
    - e.g., *matching*

# Questions?



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