| Greedy algorithms 2 |  |
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## Knapsack problems: Greedy or not?

- 0-1 Knapsack - A thief robbing a store finds n items worth $\mathrm{v}_{1}, \mathrm{v}_{2}, . ., \mathrm{v}_{\mathrm{n}}$ dollars and weight $\mathrm{w}_{1}, \mathrm{w}_{2}, \ldots, \mathrm{w}_{\mathrm{n}}$ pounds, where $v_{i}$ and $w_{i}$ are integers. The thief can carry at most W pounds in the knapsack. Which items should the thief take if he wants to maximize value.
- Fractional knapsack problem - Same as above, but the thief happens to be at the bulk section of the store and can carry fractional portions of the items. For example, the thief could take $20 \%$ of item i for a weight of $0.2 \mathrm{w}_{\mathrm{i}}$ and a value of $0.2 \mathrm{v}_{\mathrm{i}}$.

Compression algorithms


## Simplifying assumption: frequency only

Assume that we only have character frequency information for a file

| ACADAADB ... |  |  |
| :---: | :---: | :---: | :---: |
|  | $=$ | Symbol Frequency <br>  A <br>  70 <br> B 3 <br> C 20 <br> D 37 l |

## Fixed length code

Use ceil $\left(\log _{2}|\Sigma|\right)$ bits for each character

$$
\begin{aligned}
& \mathrm{A}= \\
& \mathrm{B}= \\
& \mathrm{C}= \\
& \mathrm{D}=
\end{aligned}
$$

## Fixed length code

Use ceil $\left(\log _{2}|\Sigma|\right)$ bits for each character

$$
A=00 \quad 2 \times 70+
$$

$B=01 \quad 2 \times 3+$
$C=10 \quad 2 \times 20+$
D=11 $2 \times 37=$

| Symbol | Frequency |
| :---: | :---: |
| A | 70 |
| B | 3 |
| C | 20 |
| D | 37 |

260 bits
How many bits to encode the file?

## Fixed length code

Use ceil $\left(\log _{2}|\Sigma|\right)$ bits for each character
$A=00 \quad 2 \times 70+$
$B=01 \quad 2 \times 3+$
C $=10 \quad 2 \times 20+$
$\mathrm{D}=11 \quad 2 \times 37=$

| Symbol | Frequency |
| :---: | :---: |
| A | 70 |
| B | 3 |
| C | 20 |
| D | 37 |

260 bits
Can we do better?

| Variable length code |  |  |  | :\%: $\because \because \%$ $\because \because \%$ $\vdots \% \%$ |
| :---: | :---: | :---: | :---: | :---: |
| What about: |  |  |  |  |
|  |  | Symbol | Frequency |  |
| $A=0$ | $1 \times 70+$ | A | 70 |  |
| $B=01$ | $2 \times 3+$ | B | 3 |  |
| $C=10$ | $2 \times 20+$ | C | 20 |  |
| $\mathrm{D}=1$ | $1 \times 37=$ | D | 37 |  |
|  | 153 bits | How m encode | many bits to the file? |  |


| Decoding a file |  | : $\because: \%$ |
| :---: | :---: | :---: |
| $\begin{aligned} & A=0 \\ & B=01 \\ & C=10 \\ & D=1 \end{aligned}$ | 010100011010 |  |
|  | What characters does this sequence represent? |  |


| Decoding a file |  |  |
| :---: | :---: | :---: |
| $\begin{aligned} & A=0 \\ & B=01 \\ & C=10 \\ & D=1 \end{aligned}$ | $\underbrace{010100011010}$ <br> AD or B? |  |
|  | What characters does this sequence represent? |  |

## Prefix codes

A prefix code is a set of codes where no codeword is a prefix of some other codeword

```
A = 0
B=01
C=10
D = 1
\(\mathrm{A}=0\)
\(B=100\)
\(C=101\)
D = 11
```


## Prefix tree

We can encode a prefix code using a full binary tree where each child represents an encoding of a symbol

$$
\begin{aligned}
& A=0 \\
& B=100 \\
& C=101 \\
& D=11
\end{aligned}
$$



## Decoding using a prefix tree

- To decode, we traverse the graph until a leaf node is reached and output the symbol

$$
\begin{aligned}
& A=0 \\
& B=100 \\
& C=101 \\
& D=11
\end{aligned}
$$



## Decoding using a prefix tree

- Traverse the graph until a leaf node is reached and output the symbol 1000111010100







## A greedy algorithm?

Given file frequencies, can we come up with a prefixfree encoding (i.e. build a prefix tree) that minimizes the number of bits?

Huffman $(F)$
$Q \leftarrow \operatorname{MakeHeap}(F)$
for $i \leftarrow 1$ to $|Q|-1$
allocate a new node $z$
left $[z] \leftarrow x \leftarrow$ ExtractMin $(Q)$
$\operatorname{right}[z] \leftarrow y \leftarrow \operatorname{Extract} \operatorname{Min}(Q)$
$f[z] \leftarrow f[x]+f[y]$
Insert $(Q, z)$
return ExtractMin $(Q)$




| Hufrman( $F$ ) |  |  |  |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  | Symbol | Frequency |  |
|  | A | 70 |  |
|  | B | 3 |  |
|  | C | 20 |  |
|  | D | 37 |  |
| ) |  | Heap |  |
| 70 |  | BCD 130 |  |
| 320 |  |  |  |

## Is it correct?

- The algorithm selects the symbols with the two smallest frequencies first (call them $f_{1}$ and $f_{2}$ )


## Is it correct?

## Is it correct?

- The algorithm selects the symbols with the two smallest frequencies first (call them $f_{1}$ and $f_{2}$ )
- Consider a tree that did not do this:

$\operatorname{cost}(T)=\sum_{i=1}^{n} f_{i} \operatorname{depth}(i)$
- frequencies don't change - cost will decrease since $\mathrm{f}_{1}<\mathrm{f}_{\mathrm{i}}$
contradiction

| Runtime? |  | $\because: \%$ $\because \because:$ $\because \because:$ |
| :---: | :---: | :---: |
|  | 1 call to MakeHeap <br> 2( $\mathrm{n}-1$ ) calls ExtractMin <br> n-1 calls Insert |  |
| $\mathrm{O}(\mathrm{n} \log \mathrm{n})$ |  |  |



