

## Assignment 5 Assignment 6: due Monday (11/2 at 11:59pm) Start on time © Academic honesty

```
member _ [] = false
I member e (x::xs) = e=x orelse (member e xs);

What is it's type signature?

What does it do?
```

```
fun member _ [] = false
| member e (x::xs) = e=x orelse (member e xs);

'a -> 'a list -> bool

Determines if the first argument is in the second argument
```

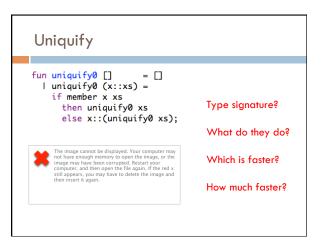
### fun member \_ [] = false | I member e (x::xs) = e=x orelse (member e xs); How fast is it? For a list with k elements in it, how many calls are made to member? Depends on the input!

```
fun member _ [] = false
| member e (x::xs) = e=x orelse (member e xs);

For a list with k elements in it, how many calls are made to member in the worst case?

Worst case is when the item doesn't exist in the list k+1 times:
- each element will be examined one time (2<sup>nd</sup> pattern)
- plus one time for the empty list
```

# fun member \_ [] = false | member e (x::xs) = e=x orelse (member e xs); How will the run-time grow as the list size increases? Linearly: - for each element we add to the list, we'll have to make one more recursive call - doubling the size of the list would roughly double the run-time



### uniquify0

Depends on the values!

### uniquify0

```
fun uniquify0 [] = []
I uniquify0 (x::xs) =
  if member x xs
    then uniquify0 xs
    else x::(uniquify0 xs);
```

Worst case, how many calls to member are made for a list of size k, including calls made in uniquify0 as well as recursive calls made in member?

### uniquify0

```
fun uniquify0 [] = []
    I uniquify0 (x::xs) =
    if member x xs
        then uniquify0 xs
        else x::(uniquify0 xs);
```

How many calls are made if the list is empty?

0

### uniquify0

```
fun uniquify0 [] = []
I uniquify0 (x::xs) =
  if member x xs
    then uniquify0 xs
    else x::(uniquify0 xs);
```

### Recursive case:

Let  $\mathsf{count}_0(i)$  be the number of calls that uniquify0 makes to member for a list of size i.

Can you define the number of calls for a list of size k (count<sub>0</sub>(k))? Hint: the definition will be recursive?

### uniquify0

### Recursive case:

Let  $\mathsf{count}_0(i)$  be the number of calls that uniquify0 makes to member for a list of size i.

$$\begin{aligned} & \textit{count}_0(k) = (k+1) + \textit{count}_0(k-1) \\ & \text{worst case number of calls for} \\ & \text{1 call to member of size k} \end{aligned} \qquad \text{number of calls for uniquify0}$$

### Recurrence relation

$$count_0(k) = \begin{cases} 0 & \text{if, } k = 0\\ k + count_0(k-1) & \text{otherwise} \end{cases}$$

How many calls is this?

### Recurrence relation

$$count_0(k) = \begin{cases} 0 & \text{if, } k = 0\\ k + count_0(k-1) & \text{otherwise} \end{cases}$$

$$\begin{aligned} count_0(k) &= k + count(k-1) \\ &= k + k - 1 + count_0(k-2) \\ &= k + k - 1 + k - 2 + count_0(k-3) \\ &= k + k - 1 + k - 2 + \dots + 1 + count_0(0) \\ &= k + k - 1 + k - 2 + \dots + 1 + 0 \end{aligned}$$

### Recurrence relation

$$count_0(k) = \begin{cases} 0 & \text{if, } k = 0\\ k + count_0(k-1) & \text{otherwise} \end{cases}$$

$$count_0(k) = \frac{k(k+1)}{2} \approx \frac{k^2}{2}$$
 calls to member

Can you prove this?

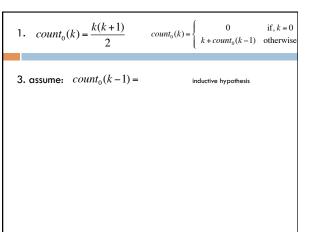
### Proof by induction

- 1. State what you're trying to prove!
- 2. State and prove the base case
  - What is the smallest possible case you need to consider?
- Should be fairly easy to prove
- Assume it's true for k (or k-1). Write out specifically what this assumption is (called the inductive hypothesis).
- 4. Prove that it then holds for k+1 (or k)
  - a. State what you're trying to prove (should be a variation on step 1)
  - b. Prove it. You will need to use inductive hypothesis.

Proof by induction!  $count_0(k) = \begin{cases} 0 & \text{if, } k = 0 \\ k + count_0(k - 1) & \text{otherwise} \end{cases}$ 

- 1.  $count_0(k) = \frac{k(k+1)}{2}$
- 2. base case?

Proof by induction!	$count_0(k) = \begin{cases} 0 & \text{if, } k = 0\\ k + count_0(k-1) & \text{otherwise} \end{cases}$
1. $count_0(k) = \frac{k(k+1)}{2}$	
2. k = 0	
$count_0(k) = 0$	from definition of $count_0$
$count_0(k) = \frac{0(0+1)}{2} =$	0 what we're trying to prove



1. 
$$count_0(k) = \frac{k(k+1)}{2}$$
  $count_0(k) = \begin{cases} 0 & \text{if, } k=0 \\ k+count_0(k-1) & \text{otherwise} \end{cases}$ 

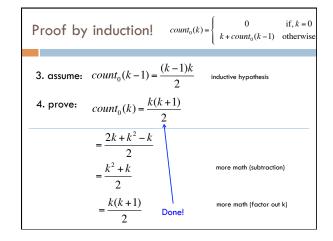
3. assume:  $count_0(k-1) = \frac{(k-1)k}{2}$  inductive hypothesis

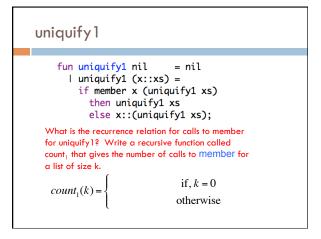
1. 
$$count_0(k) = \frac{k(k+1)}{2}$$
  $count_0(k) = \begin{cases} 0 & \text{if, } k=0 \\ k+count_0(k-1) & \text{otherwise} \end{cases}$ 

3. assume:  $count_0(k-1) = \frac{(k-1)k}{2}$  inductive hypothesis

4. prove:  $count_0(k) = \frac{k(k+1)}{2}$ 

$$count_0(k) = k + count_0(k-1)$$
 by definition of count\_0
$$= k + \frac{(k-1)k}{2}$$
 inductive hypothesis
$$= \frac{2k + k^2 - k}{2}$$
 month  $(k = 2k/2, \text{ multiply } (k-1)k)$ 





### uniquify1

$$count_1(k) = \begin{cases} 0 & \text{if, } k = 0\\ k + 2 * count_1(k-1) & \text{otherwise} \end{cases}$$

### How many calls is that?

$$count_1(k) = \begin{cases} 0 & \text{if, } k = 0\\ k + 2 * count_1(k-1) & \text{otherwise} \end{cases}$$

**I claim:**  $count_1(k) = 2^{k+1} - k - 2$ 

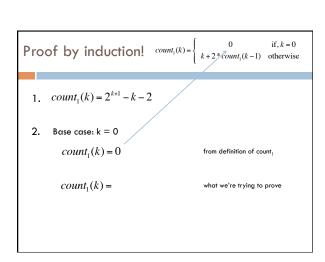
Can you prove it?

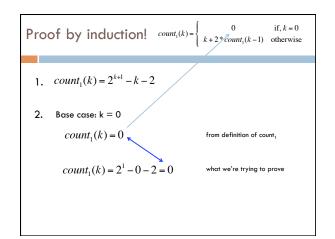
### Prove it!

- 1. State what you're trying to prove!
- 2. State and prove the base case
- Assume it's true for k (or k-1) (and state the inductive hypothesis!)
- 4. Show that it holds for k+1 (or k)

$$count_1(k) = \begin{cases} 0 & \text{if, } k = 0\\ k + 2 * count_1(k - 1) & \text{otherwise} \end{cases}$$

1.  $count_1(k) = 2^{k+1} - k - 2$ 





```
Proof by induction! count_1(k) = \begin{cases} 0 & \text{if, } k = 0 \\ k+2*count_1(k-1) & \text{otherwise} \end{cases}

1. count_1(k) = 2^{k+1} - k - 2

3. assume: count_1(k-1) = 2^k - (k-1) - 2 inductive hypothesis count_1(k-1) = 2^k - k - 1
```

```
Proof by induction! count_1(k) = \begin{cases} 0 & \text{if, } k = 0 \\ k+2*count_1(k-1) & \text{otherwise} \end{cases}

3. assume: count_1(k-1) = 2^k - k - 1 inductive hypothesis

4. prove: count_1(k) = 2^{k+1} - k - 2

count_1(k) = k + 2count_1(k-1) by definition of count, inductive hypothesis
= k + 2(2^k - k - 1) inductive hypothesis
= k + 2^{k+1} - 2k - 2 math (multiply through by 2)
= 2^{k+1} - k - 2 Done!
```

### Does it matter?

$$count_0(k) = \frac{k(k+1)}{2}$$
  $count_1(k) = 2^{k+1} - k - 2$ 

### Does it matter?

$$count_0(k) = \frac{k(k+1)}{2}$$
  $count_1(k) = 2^{k+1} - k - 2$ 

k	0	1	2	3	4	•••	10	100
$count_0(k)$	0	?						
$count_1(k)$	0	Ċ						

### Does it matter?

$$count_0(k) = \frac{k(k+1)}{2}$$
  $count_1(k) = 2^{k+1} - k - 2$ 

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k	0	1	2	3	4	•••	10	100
$count_0(k)$	0	1	3	2				
$count_1(k)$	0	1	4	٠				

### Does it matter?

$$count_0(k) = \frac{k(k+1)}{2}$$
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$$count_0(k) = \frac{k(k+1)}{2}$$
  $count_1(k) = 2^{k+1} - k - 2$ 

k	0	1	2	3	4	10	100
$count_0(k)$	0	1	3	6	15	55	2
$count_1(k)$	0	1	4	11	57	2036	•

### Does it matter?

$$count_0(k) = \frac{k(k+1)}{2}$$
  $count_1(k) = 2^{k+1} - k - 2$ 

### Maybe it's not that bad

 $2.5 \times 10^{30}$  calls to member for a list of size 100

Roughly how long will that take?

### Maybe it's not that bad

 $2.5 \times 10^{30}$  calls to member for a list of size 100

- Assume 10<sup>9</sup> calls per second
- ~3 x 10<sup>7</sup> seconds per year
- $\sim$ 3 x 10<sup>17</sup> calls per year
- ~10<sup>13</sup> years to finish!

  Just to be clear: 10,000,000,000,000 years

### In practice

On my laptop, starts to slow down with lists of length 22 or so

### Undo

```
fun uniquify1 nil = nil
  | uniquify1 (x::xs) =
    if member x (uniquify1 xs)
    then uniquify1 xs
    else x::(uniquify1 xs);
```

### What's the problem? Can we fix it?

### Undo

```
fun uniquify1 nil = nil
| uniquify1 (x::xs) =
    if member x (uniquify1 xs)
        then uniquify1 xs
        else x::(uniquify1 xs);

fun uniquify2 nil = nil
| uniquify2 (x::xs) =
    let
        val recResult = uniquify2 xs;
    in
        if member x recResult
        then recResult
        else x::recResult
    end;
```

### Which is faster?

```
fun uniquify0 nil = nil
    I uniquify0 (x::xs) =
    if member x xs
        then uniquify0 xs
        else x::(uniquify0 xs);

fun uniquify2 nil = nil
    I uniquify2 (x::xs) =
    let
        val recResult = uniquify2 xs;
    in
        if member x recResult
            then recResult
        else x::recResult
    end;
```

### Big O: Upper bound

### O(g(n)) is the set of functions:

$$O(g(n)) = \left\{ \begin{array}{l} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \end{array} \right.$$

### Big O: Upper bound

### O(g(n)) is the set of functions:

 $O(g(n)) = \left\{ f(n): \text{ there exists positive constants } c \text{ and } n_0 \text{ such that } \atop 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \right\}$ 

We can bound the function f(n) above by some constant factor of g(n): constant factors don't matter!

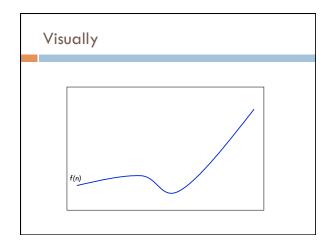
### Big O: Upper bound

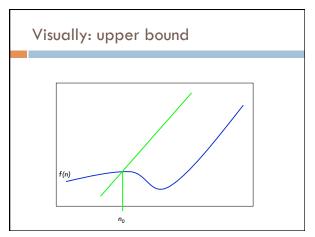
### O(g(n)) is the set of functions:

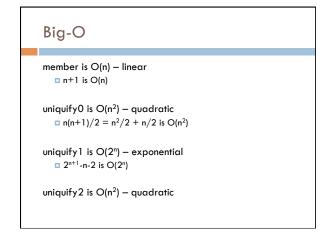
 $O(g(n)) = \begin{cases} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \end{cases}$ 

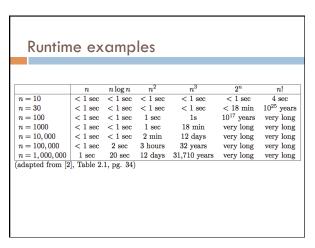
We can bound the function f(n) above by some constant factor of g(n): constant factors don't matter!

For some increasing range: we're interested in long-term growth









### Some examples

O(1) – constant. Fixed amount of work, regardless of the input size

- add two 32 bit numbers
- □ determine if a number is even or odd
- □ sum the first 20 elements of an array
- delete an element from a doubly linked list

 $O(\log n) - \log n$  At each iteration, discards some portion of the input (i.e. half)

□ binary search

### Some examples

O(n) – linear. Do a constant amount of work on each element of the input

- □ find an item in an array (unsorted) or linked list
- □ determine the largest element in an array

 $O(n \log n) \log$ -linear. Divide and conquer algorithms with a linear amount of work to recombine

- Sort a list of number with MergeSort
- □ FFT

### Some examples

 $O(n^2)$  – quadratic. Double nested loops that iterate over the data

□ Insertion sort

 $O(2^n)$  – exponential

- Enumerate all possible subsets
- □ Traveling salesman using dynamic programming

O(n!)

- Enumerate all permutations
- $\hfill \Box$  determinant of a matrix with expansion by minors

### An aside

My favorite thing in python!

### What do these functions do?

```
def fibrec(n):
    if n <= 1:
        return 1
    else:
        return fibrec(n-2) + fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1

for i in range(n):
    prev2, prev1 = prev1, (prev1 + prev2)

return prev1</pre>
```

## def fibrec(n): if n <= 1: return 1 else: return fibrec(n-2) + fibrec(n-1) def fibiter(n): prev2, prev1 = 0, 1 for i in range(n): prev2, prev1 = prev1, (prev1 + prev2) return prev1 Which is faster? What is the big-O runtime of each function in terms of n, i.e. how does the runtime grow w.r.t. n?

### Runtime

```
def fibiter(n):
    prev2, prev1 = 0, 1

for i in range(n):
    prev2, prev1 = prev1, (prev1 + prev2)

return prev1

O(n) — linear

Informal justification:
The for loop does n iterations and does just a constant amount of work for each iteration. An increase in n will see a corresponding increase in the number of iterations.
```

### def fibrec(n): if n <= 1: return 1 else:</pre>

return fibrec(n-2) + fibrec(n-1)

Guess?

Runtime

## def fibrec(n): if n <= 1: return 1 else: return fibrec(n-2) + fibrec(n-1) Guess: O(2^n) - for each call, makes two recursive calls fun uniquify1 miles the recurrence relation? I uniquify1 (x::xs) = if member x (uniquify1 xs) then uniquify1 xs else x::(uniquify1 xs);

```
Runtime

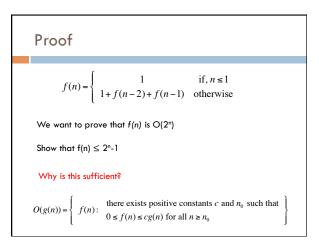
def fibrec(n):
    if n \Leftarrow 1:
        return 1
    else:
        return fibrec(n-2) + fibrec(n-1)

Guess: O(2^n) – for each call, makes two recursive calls

f(n) = \begin{cases} 1 & \text{if } , n \leq 1 \\ 1 + f(n-2) + f(n-1) & \text{otherwise} \end{cases}
Slightly different than the recurrence relation for uniquify1.
```

### NOTE

I did not cover the following proof in class, but left it in the notes as another example of an inductive proof



### Proof

$$f(n) = \begin{cases} 1 & \text{if, } n \le 1\\ 1 + f(n-2) + f(n-1) & \text{otherwise} \end{cases}$$

We want to prove that f(n) is  $O(2^n)$ 

Show that  $f(n) \leq 2^{n}-1$ 

 $f(n) \leq 2^n \text{-} 1 \leq 2^n \text{ (c = 1, for all } n \geq 0)$ 

 $O(g(n)) = \left\{ \begin{array}{ll} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0 \end{array} \right.$ 

### Proof

$$f(n) = \begin{cases} 1 & \text{if, } n \le 1\\ 1 + f(n-2) + f(n-1) & \text{otherwise} \end{cases}$$

We want to prove that f(n) is  $O(2^n)$ 

Show that  $f(n) \leq 2^{n}-1$ 

How do we prove this? Induction!

 $O(g(n)) = \left\{ \begin{array}{ll} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0 \end{array} \right.$ 

### Proof by induction $f(n) = \begin{cases} 1 & \text{if, } n \le 1 \\ 1 + f(n-2) + f(n-1) & \text{otherwise} \end{cases}$ 1. Prove: $f(n) \le 2^n-1$

2. Base case:

$$f(1) = 2^1 - 1 = 1$$
 What we're trying to prove

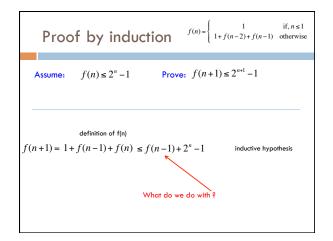
Proof by induction 
$$f(n) = \begin{cases} 1 & \text{if, } n \le 1 \\ 1 + f(n-2) + f(n-1) & \text{otherwise} \end{cases}$$

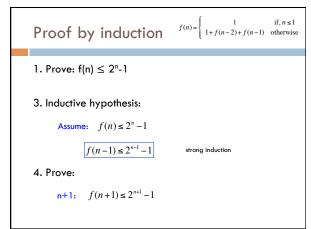
- 1. Prove:  $f(n) \leq 2^{n}-1$
- 3. Inductive hypothesis:

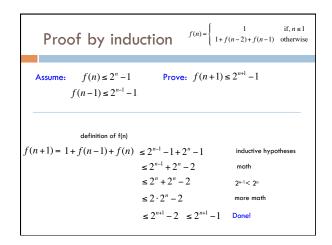
Assume:  $f(n) \le 2^n - 1$ 

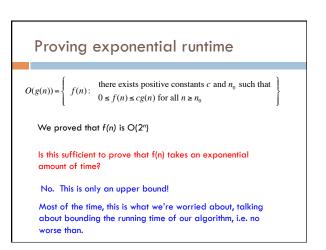
4. Prove:

n+1:  $f(n+1) \le 2^{n+1} - 1$ 









### Proving exponential runtime

```
O(g(n)) = \left\{ \begin{array}{l} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0 \end{array} \right. We proved that f(n) is O(2^n)

How would we prove that f(n) is exponential, i.e. always takes exponential time?
f(n) \geq c2^n, \text{ for some c}

Using induction, can prove f(n) \geq \frac{1}{2} 2^{n/2}
```

### **ENDNOTE**

Prove it!

This is the end of the proof that I didn't cover in class

### Proving correctness

```
def fibrec(n):
    if n <= 1:
        return 1
    else:
        return fibrec(n-2) + fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1

    for i in range(n):
        prev2, prev1 = prev1, (prev1 + prev2)

    return prev1

Can you prove that these two functions give the same result,
i.e. that fibrec(n) = fibiter(n)?</pre>
```

```
    State what you're trying to prove!
    State and prove the base case(s)
    Assume it's true for all values ≤ k
    Show that it holds for k+1

        def fibrec(n):
            if n ← 1:
                return 1
            else:
                      return fibrec(n-2) + fibrec(n-1)

        def fibiter(n):
                      prev2, prev1 = 0, 1
```

for i in range(n):
 prev2, prev1 = prev1, (prev1 + prev2)

fibrec(n) = fibiter(n)

```
Base cases  \begin{array}{c} \text{fibrec(n)} = \text{fibiter(n)} \\ \\ \text{def fibiter(n):} \\ \text{prev2, prev1} = \emptyset, \ 1 \\ \text{for i in range(n):} \\ \text{prev2, prev1} = \text{prev1, (prev1 + prev2)} \\ \\ \text{return prev1} \\ \hline \\ n = 0 \ \text{and} \ n = 1 \\ \hline \\ \\ \\ \end{array}   \begin{array}{c} \text{def fibrec(n):} \\ \text{if } n \leftarrow 1: \\ \text{return 1} \\ \text{else:} \\ \text{return fibrec(n-2) + fibrec(n-1)} \\ \\ \text{return prev1} \\ \hline \\ \\ \\ \\ \end{array}   \begin{array}{c} n = 0 \ \text{in } \\ n = 1 \ \text{in } \\ n = 1 \ \text{in } \\ \end{array}
```

```
Base cases  \begin{array}{ll} & \text{fibrec(n)} = \text{fibiter(n)} \\ & \text{def fibiter(n):} \\ & \text{prev2, prev1} = \emptyset, \ 1 \\ & \text{for i in range(n):} \\ & \text{prev2, prev1} = \text{prev1, (prev1 + prev2)} \\ & \text{return fibrec(n-2) + fibrec(n-1)} \\ & \text{return prev1} \\ \hline & n = 0 \ \text{and} \ n = 1 \\ & \text{Loop executes once} \\ & \text{prev1} = 1 + 0 = 1 \\ & \text{n} = 1: \ 1 \\ \hline \end{array}
```

```
def fibiter(n):
    prev2, prev1 = 0, 1
    for i in range(n):
        prev2, prev1 = prev1, (prev1 + prev2)
    return prev1

Assume:
    fibrec(n-1) = fibiter(n-1)
    fibrec(n-2) = fibiter(n-2)

Prove:
    fibrec(n) = fibiter(n)
```

```
Assume: fibiter(n-2) = fibrec(n-2)
fibiter(n-1) = fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1
    for i in range(n):
        prev2, prev1 = prev1, (prev1 + prev2)

return prev1

Definition of for loops

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)
```

```
Assume: fibiter(n-2) = fibrec(n-2)
fibiter(n-1) = fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1
    for i in range(n - 2):
        prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n = prev1, (prev1 + prev2)

return prev1

Prove: fibiter(n) = fibrec(n)

What is prev1 after this?
```

```
Assume: fibiter(n-2) = fibrec(n-2)
fibiter(n-1) = fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1
    for i in range(n - 2):
        prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

return prev1

Prove: fibiter(n) = fibrec(n)

prev1 = fibiter(n-2)

by inductive hypothesis:

prev1 = fibrec(n-2)
```

```
Assume: fibiter(n-2) = fibrec(n-2)
fibiter(n-1) = fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1
    for i in range(n - 2):
        prev2, prev1 = prev1, (prev1 + prev2)
    # iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration r|
    prev2, prev1 = prev1, (prev1 + prev2)

# iteration r|
    prev2, prev1 = prev1, (prev1 + prev2)

# return prev1

Prove: fibiter(n) = fibrec(n)

What is prev2 after this?

assignment

prev2 = fibrec(n-2)
```

```
Assume: fibiter(n-2) = fibrec(n-2)
fibiter(n-1) = fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1
    for i in range(n - 2):
        prev2, prev1 = prev1, (prev1 + prev2)
    # iteration n-1
    prev2, prev1 = prev1, (prev1 + prev2)
    # iteration n|
    prev2, prev1 = prev1, (prev1 + prev2)
    # iteration n|
    prev2, prev1 = prev1, (prev1 + prev2)
    return prev1

Assume: fibiter(n) = fibrec(n)

What is prev1 after this?

by inductive hypothesis

prev1 = fibrec(n-1)

return prev1
```

```
Assume: fibiter(n-2) = fibrec(n-2)
fibiter(n-1) = fibrec(n-1)

def fibiter(n):
    prev2, prev1 = 0, 1
for i in range(n - 2):
    prev2, prev1 = prev1, (prev1 + prev2)
    # iteration n-1
    prev2 = fibrec(n-2)
    # iteration n|
    prev2, prev1 = prev1, (prev1 + prev2)
# iteration n|
    prev2, prev1 = prev1, (prev1 + prev2)
# iteration n|
    prev2, prev1 = prev1, (prev1 + prev2)
# iteration n|
    prev2, prev1 = prev1, (prev1 + prev2)
# return prev1
```